

## PATENT

## 5 REGULATED ANTIGEN DELIVERY SYSTEM (RADS)

Background of the Invention10 Reference to Government Grant

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15 Background of the Invention

## (1) Field of the Invention

The invention relates to materials and methods for preparing vaccines and recombinant DNA expression products, and more particularly to genetically engineered attenuated pathogenic microorganisms that are useful for expressing antigens and other recombinant products encoded on plasmid-borne genes.

## (2) Description of the Related Art

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U.S. Patent No. 4,424,065.

U.S. Patent No. 4,888,170.

U.S. Patent No. 5,294,441.

U.S. Patent No. 5,278,744.

5 U.S. Patent No. 5,389,368.

U.S. Patent No. 5,424,065.

U.S. Patent No. 5,468,485.

U.S. Patent No. 5,656,488.

U.S. Patent No. 5,672,345.

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U.S. Patent No. 5,855,879.

U.S. Patent No. 5,855,880.

U.S. Patent No. 5,888,790.

U.S. Patent No. 6,024,961.

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#### Related Art:

Genetically engineered microorganisms have widespread utility and importance. One important use of these microorganisms is as live vaccines to produce an immune response. Live vaccines are most effective when they produce high levels of antigen. However, the synthesis of a high level expression of a recombinant antigen may be deleterious to the microorganism. Because of this, regulated (as opposed to constitutive) expression systems have been identified and utilized where the recombinant gene of interest is operably linked to control elements that allow expression of significant amounts of the recombinant gene only when it is induced, derepressed or activated. Examples include the *cspA* gene promoter, the *phoA* gene promoter, P<sub>BAD</sub> (in an *araC*-P<sub>BAD</sub> system), the *trp* promoter, the *tac* promoter, the *trc* promoter, λP<sub>L</sub>, P22 P<sub>R</sub>, *mal* promoters, and the *lac* promoter. These promoters mediate transcription at low temperature, at low phosphate levels, in the presence of arabinose, in the presence of at low tryptophan levels, and in the presence of lactose (or other *lac* inducers).

One important use of genetically engineered microorganisms is as a live vaccine for inducing immunity. See, e.g., U.S. Patents 6,024,961; 4,888,170; 5,389,368; 5,855,879; 5,855,880; 5,294,441; 5,468,485; 5,387,744; 5,840,483; 5,672,345; 5,424,065; 5,378,744; 5,888,790; 5,424,065; 5,656,488; 5,006,335; 5,643,771; 5,980,907; 5,851,519; and 5,527,529, all of which are incorporated by reference. When the genetically engineered microorganism is to be utilized as a vertebrate live vaccine, certain considerations must be taken into account. To provide a benefit beyond that of a nonliving vaccine, the live vaccine microorganism must

attach to, invade, and survive in lymphoid tissues of the vertebrate and expose these immune effector sites in the vertebrate to antigen for an extended period of time. By this continual stimulation, the vertebrate's immune system becomes more highly reactive to the antigen than with a nonliving vaccine. Therefore, preferred live vaccines are attenuated pathogens of the vertebrate, particularly pathogens that colonize the gut-associated lymphoid tissue (GALT) or bronchial-associated lymphoid tissue (BALT). An additional advantage of these attenuated pathogens over nonliving vaccines is that these pathogens have elaborate mechanisms to gain access to lymphoid tissues, and thus efficient exposure to the vertebrate's immune system can be expected. In contrast, nonliving vaccines will only provide an immune stimulus if the vaccine is passively exposed to the immune system, or if host mechanisms bring the vaccine to the immune system.

As described in U.S. Patent No. 5,888,799, for example, pathogenic bacteria can be attenuated by introduction of mutations so that upon infection of an animal host disease symptomology is not elicited, yet the bacteria retain the ability to attach to, invade, and colonize lymphoid tissues within the animal host for a sufficient time to induce an immune response against the attenuated bacteria. These attenuated bacterial vaccine strains can be genetically engineered to express foreign antigens encoded by genes on plasmid vectors or inserted into the chromosome that are derived from heterologous pathogenic bacteria, viruses, fungi, or parasites. These recombinant attenuated bacterial vaccines can be delivered as live vaccines to mucosal surfaces in an immunized individual so that the recombinant bacteria serve as a factory within these lymphoid tissues of the immunized vertebrate, producing the foreign antigen and eliciting a primary and/or protective immune response enabling the immunized animal host to survive infection by the pathogen whose antigen is expressed by the recombinant attenuated bacterial vaccine.

Bacteria can be attenuated by introducing mutations that permit environmental regulation of surface molecule synthesis such as lipopolysaccharides in gram-negative microorganisms as affected by a *galE* mutation (U.S. Pat. No. 5,006,335). Bacteria can also be attenuated by introduction of mutations that impose specific nutritional requirements, such as for constituents of nucleic acids such as purines, constituents of the cell wall such as diaminopimelic acid (DAP) (U.S. Pat. No. 4,888,170), or that impose requirements for aromatic amino acids and vitamins derived therefrom, such as caused by *aro* mutations (U.S. Pat. No. 5,643,771). Still other means of attenuation are achieved by mutating genes affecting global regulation of other genes. Thus mutants with mutations in the genes for adenylate cyclase, *cya*, and the cAMP receptor protein, *crp*, are attenuated and immunogenic (U.S. Pat. Nos. 5,294,441; 5,389,368; 5,468,485; 5,855,879 and 5,855,880). Similarly,

mutations in the *phoPQ* two-component regulatory system (U.S. Pat. No. 5,424,065) and mutations such as *ompR* (U.S. Pat. No. 5,527,529), *hemA* Benjamin et al., 1991, *Microb. Pathog.* 11:289-295), and *htrA* (U.S. Pat. No. 5,980,907) have also been used to render bacteria attenuated yet immunogenic. All mutants of pathogenic bacteria that are attenuated are not necessarily immunogenic to the same degree. It is therefore possible to introduce mutations such as *rpoS* which render bacteria attenuated, but impair the ability of the attenuated bacteria to colonize lymphoid tissues, thus reducing the immunogenicity of the bacteria. See U.S. Patent No. 6,024,961. Thus, some attenuation mechanisms hyperattenuate the vaccine, precluding the candidate vaccine from either reaching or persisting in lymphoid tissues to a sufficient extent or duration to permit induction of a protective immune response to the wild-type pathogen whose antigen is expressed by the recombinant attenuated bacterial vaccine.

Since immune responses induced to expressed foreign antigens are proportional to the levels of antigen expressed by the recombinant attenuated bacterial vaccine (Doggett et al., 1993, *Infect. Immun.* 61:1859-1866; Schodel et al., 1994, *Infect. Immun.* 62:1669-1676; Srinivasan et al, 1995, *Biol. Reprod.* 53:462-471), the placement of the gene for the foreign antigen on a multi-copy plasmid vector is much preferable to the insertion of the gene for the foreign antigen into the chromosome of the attenuated bacterial vaccine vector. This is because the level of foreign antigen expression is generally proportional to the number of copies of the gene for the foreign antigen expressed within the attenuated bacterial host.

Since plasmid-containing recombinant attenuated bacterial vaccines produce large amounts of antigen that provides no advantage to the vaccine, the plasmid vectors are often lost over time after immunization (Curtiss et al, 1988, *Vaccine* 6:155-160). In many cases, ten percent or less of the recombinant attenuated bacterial vaccine isolated from the immunized vertebrate retains the plasmid after three or four days. When this plasmid loss occurs, the immune response is directed more against the attenuated bacterial host vaccine itself rather than against the expressed foreign antigen. This problem was solved by the establishment of balanced-lethal host-vector systems as described in US patents 5,672,345 and 5,840,483. In that system, a mutation is introduced into the chromosome of the attenuated bacterial vaccine to preclude synthesis of an essential cell wall constituent, diaminopimelic acid or DAP, which is not prevalent in the environment and is totally absent in animal tissues. In the absence of DAP, the DAP-requiring bacteria undergoes DAP-less death and lysis. The bacterium also contains a plasmid vector comprising a gene complementing the mutation in the chromosome. The plasmid-containing strain is thus able to synthesize DAP and survive in the absence of an exogenous DAP supply, as occurs in an

immunized vertebrate. Colonization of internal lymphoid organs in the immunized vertebrate can then occur. One such system employs deletion mutations for the gene for  $\beta$ -aspartate semialdehyde dehydrogenase, the *asd* gene, and plasmid vectors that would contain the wild-type *asd* gene in addition to the elements causing expression of a foreign antigen (Nakayama et al., 1988, *Bio/Tech.* 6:693-697; Galan et al., 1990, *Gene* 94:29-35). Aside from the Asd<sup>+</sup> plasmid vector encoding the foreign antigen, these  $\Delta$ *asd* bacterial strains also contain attenuating mutations as described above. When orally administered, these balanced-lethal host-vector vaccines effectively attach to, invade, and colonize lymphoid tissues similar to a bacterium attenuated in the same manner but not expressing the foreign antigen. An important additional benefit of this balanced-lethal host-vector system is the absence of antibiotic resistance gene on the plasmid vector, since live vaccines are not permitted to contain such genes.

As stated above, the level of immune response to a foreign antigen is generally proportional to its level of expression by the recombinant attenuated bacterial vaccine. Unfortunately, overexpression of a foreign antigen is often toxic such that it reduces the rate of growth and therefore the ability of the attenuated bacterial vaccine to colonize lymphoid tissues. As a consequence, the immunogenicity is much diminished. For this reason, it has therefore been necessary to have a balance between the ability of the vaccine to colonize and grow in lymphoid tissues with its ability to produce the foreign antigen.

Another issue of importance in the use of recombinant attenuated bacterial vaccines is their potential, after administration to an animal or human, to be shed in feces and survive in the environment so as to potentially lead to immunization of individuals in which immunization is not desired. This issue is particularly important with agricultural vaccines that might be administered in the feed and/or drinking water or by spray such that the vaccine could persist in the environment and expose other animals to that vaccine other than the animal species desired to be immunized. We therefore designed an environmentally limited viability system (ELVS) for recombinant attenuated bacterial vaccine constructions as described in WO96/40947, incorporated herein by reference. In some embodiments of that invention, vaccine strains were constructed that, in a permissive environment, express essential genes and not express lethal genes, but upon entering a non-permissive environment, such as the ambient temperature following shedding of fecal matter, would cease expressing essential genes and commence expressing lethal genes, leading to the death of the vaccine construct. In other embodiments, the containment features of the vaccine relating to the expression of essential genes and the non-expression of lethal genes in a permissive environment, such as during growth of the vaccine strain in a fermenter, were extended for a

period of time after the vaccine strain entered a non-permissive environment, such as the immunized animal host. Such delayed onset environmentally limited viability systems enable the vaccine to attach to, invade, and colonize lymphoid tissues prior to the onset of death brought about by non-expression of essential genes and expression of lethal genes. Although many examples of essential genes and lethal genes are described in WO96/40947, a preferred regulated essential gene therein is the *asd* gene encoding  $\beta$ -aspartate semialdehyde dehydrogenase, an enzyme necessary for the biosynthesis of DAP, which is an essential constituent of the rigid layer of the bacterial cell wall and is not available in the environment, and especially in animal hosts. The preferred lethal genes therein are those derived from a bacterial virus that lead to lysis of the bacterium when expressed from within the cytoplasm of the microorganism. One way that biological containment is achieved in those inventions is through the employment of a runaway plasmid vector that serves as both a balanced-lethal host-vector system (to maintain the plasmid) and as an ELVS to provide biological containment. As disclosed therein, in the permissive environment (e.g., a fermenter) the bacteria would maintain a very low plasmid copy number and even turn off the expression of the plasmid-encoded foreign antigen. However, at some time after entering a non-permissive environment (e.g., the immunized animal host), the system causes plasmid copy number to increase very significantly, increasing the number of copies of the lethal genes for phage induced lysis. Since the copy number of the gene specifying the foreign antigen is increased, overproduction of the foreign antigen occurs at a time near the time when the bacterium might die by lysis to liberate the foreign antigen and thus augment the induction of an immune response.

Based on the above discussion, there is a need for a live vaccine that is able to effectively colonize the inoculated animal and grow in the lymphoid tissues without causing disease, yet still have the capacity to produce large amounts of antigen in vivo to induce an effective immune response. The present invention addresses that need through the utilization of regulated antigen delivery systems (RADS) based on the use and function of runaway vectors (RAVs).

### Summary of the Invention

Briefly, therefore, the inventors have succeeded in discovering that a novel Regulated Antigen Delivery System (RADS), comprising a novel runaway vector (RAV) and at least one activatable chromosome-derived repressor, in which the copy number of an extrachromosomal vector increases greatly in response to the derepression of the vector caused by the withdrawal of the activating stimulus, can be advantageously utilized in

bacterial expression systems, preferably live bacterial vaccines that are attenuated derivatives of pathogenic microorganisms. The derepressible runaway characteristic of the RADS is derived from the chromosomal activatable repressors in combination with elements of the RAV. The essential elements of the RAV are (a) a first origin of replication (ori) conferring a low copy number, where the first ori preferably confers vector replication using DNA polymerase III; (b) a second ori, operably linked to a first promoter that is repressed by a chromosome-encoded repressor, wherein the second ori preferably confers vector replication using DNA polymerase I; and (c) a foreign gene, operably linked to a second promoter that is preferably also repressed by a chromosome-encoded repressor. As a vaccine, the RADS is capable of causing an effective exposure of the immunized vertebrate's lymphoid tissues to a large dose of vector-encoded foreign gene product production in response to the withdrawal of the stimulus. Another advantage provided as a vaccine is the ability of the RADS microorganism to be grown in vitro under low copy number control, then switched to runaway conditions after vertebrate inoculation to cause an increase in antigen production in vivo. Under derepressed runaway conditions, the RADS microorganism is highly impaired due to extremely high plasmid replication activity coupled with extremely high foreign gene product production. Because of its impaired state, the derepressed RADS microorganism cannot generally survive for extended periods. The RADS therefore features an inherent containment system, in which the RADS microorganism cannot survive when not exposed to the repressor gene-activating stimulus, even in the absence of derepressible plasmid-derived phage lysis genes in the environmentally limited viability system (ELVS) as disclosed in WO96/40917.

The switch to the derepressed runaway state can be delayed after exposure of the microorganism to the derepressing environmental stimulus. In this "delayed RADS," the repressible promoters on the RAV continue their repression of the runaway condition and antigen production for a time even when the repressing stimulus is discontinued. An example of an activatable promoter that can be operably linked to a repressor on the RADS chromosome, and that is useful in delayed RADS, is the *araC*-P<sub>BAD</sub> promoter, which responds to arabinose. When linked in a RADS to a repressor such that the presence of arabinose represses the runaway condition, the transfer of the RADS bacteria to an environment without arabinose (such as when inoculated in a vertebrate) does not derepress the high copy number ori until arabinose that is still present inside the bacteria diffuses out or becomes metabolized by the microorganism. The delay can be advantageously increased by conferring mutations in the microorganism that eliminate its ability to metabolize the activating stimulus. This can be accomplished in the exemplified case with a mutation in the *araCBAD* operon to eliminate the



ability of the microorganism to metabolize arabinose. The increased delay in this enhanced delay system is because the derepression to the runaway state is no longer influenced by the metabolism of the activator since the ability to metabolize the activator is eliminated. Thus, derepression is dependent only on diffusion of the activator (arabinose) out of the microorganism. Other means to alter and/or delay runaway replication and/or foreign gene expression are also disclosed.

The delay RADS is particularly useful for live bacterial vaccines because it allows time for the bacteria to colonize the vertebrate's lymphoid tissues before switching to high copy number and producing high levels of antigen. As such, the delayed RADS is very effective in vaccines administered intranasally. When the delay is enhanced by mutations preventing metabolism of the repressor as described above, the delay is sufficient for an oral vaccine to be ingested and colonize the gut-associated lymphoid tissue (GALT) before the derepressed runaway state allows production of high amounts of antigen. Thus, high antigen levels are delivered directly to the GALT, causing a highly effective immune response.

The RADS of the present invention can be utilized in conjunction with known mutations used to attenuate the virulence of the preferred pathogenic live vaccines. The RADS is also fully compatible with plasmid maintenance systems such as the balanced lethal systems as disclosed in U.S. Patent No. 5,672,345.

Thus, in one embodiment, the present invention is directed to a microorganism comprising a regulated antigen delivery system (RADS). The RADS comprises (a) a vector comprising (1) a site for insertion of a gene encoding a desired gene product; (2) a first origin of replication (ori) conferring vector replication using DNA polymerase III; and (3) a second ori conferring vector replication using DNA polymerase I. Further, the second ori is operably linked to a first control sequence repressible by a first repressor, and the runaway vector does not comprise a phage lysis gene. The RADS also comprises a gene encoding a first repressor operably linked to a first activatable control sequence. Preferably, the vector also comprises a gene encoding a desired gene product inserted into the site of step (a), wherein the gene encoding the desired gene product is operably linked to a second control sequence. The first control sequence and the second control sequence can be the same sequence or different sequences. Preferred repressors are LacI repressor and C2 repressor; the second control sequence can be repressible by a second repressor.

Preferably, the microorganisms described above is an attenuated derivative of a pathogenic bacterium. Also, the vector is preferably a plasmid and the desired gene product is an antigen. Most preferably, the microorganism is a *Salmonella* sp. A preferred activatable control sequence is *araCP*<sub>BAD</sub>.

The above-described microorganisms can include a balanced-lethal host-vector system consisting of a lack of a functioning essential gene on the chromosome and a recombinant functioning copy of the essential gene on the vector. The essential gene is preferably an *asd* gene. In one embodiment, the *asd* gene is inactivated by the insertion of a repressor gene operably linked to *araCP<sub>BAD</sub>*. The microorganisms can also comprise an inactivating mutation in a native gene that is selected from the group consisting of *cya*, *crp*, *phoPQ*, *ompR*, *galE*, *cdt*, *hemA*, *aroA*, *aroC*, *aroD* and *htrA*.

In the above described microorganisms, the first ori is preferably a pSC ori, and the second ori is preferably a pUC ori; the first control sequence is preferably P22 P<sub>R</sub> and the first repressor is preferably C2 repressor. Additionally, the second control sequence is preferably P<sub>trc</sub> and the second control sequence preferably repressible by a second repressor, which is preferably a LacI repressor. An example of a preferred runaway vector of the present invention is pMEG-771 with a gene encoding an antigen. Modifications of that runaway vector, and other exemplified vectors, is also within the scope of the invention. Examples of antigens for use in the present invention are Ery65 and SeM.

In an additional embodiment, the desired gene in the microorganism is operably linked to a eukaryotic control sequence. In these embodiments, the microorganism also preferably comprises a *ΔendA* mutation.

The microorganism of the present invention can also exhibit delayed RADS characteristics. The delayed RADS characteristics are preferably conferred by an alteration selected from the group consisting of (a) a mutation that delays the loss of activator molecules by metabolism or leakage, (b) a mutation or insertion to increase repressor concentration, and (c) inclusion of a vector control sequence with binding sites for more than one repressor and/or vector sequences encoding repressor molecules that act on a vector control sequence.

The present invention is also directed to a method of producing a desired gene product. The method comprises, in order, (a) engineering a gene encoding the desired gene product into the vector of any of the above described microorganisms, wherein the microorganism comprises control sequences that represses expression of the second ori under a first environmental condition, but in which the expression of the second ori is derepressed under a second environmental condition; (b) culturing the above described microorganism under the first environmental condition; and (c) culturing the microorganism under the second environmental condition for a time sufficient to produce the desired gene product. A preferred first environmental condition comprises the presence of arabinose and a preferred second environmental condition comprises the absence of arabinose. The first environmental condition can be achieved under *in vitro* culture conditions and the second environmental

condition can be achieved in a vertebrate. The microorganism used in this method can also comprise an inactivating deletion in the *araCBAD* operon and/or in the *araE* gene.

The present invention is also directed to a vaccine for immunization of a vertebrate, wherein the vaccine comprises any of the microorganisms described above, in a

5 pharmaceutically acceptable carrier.

In an additional embodiment, the present invention is also directed to a method of inducing immunoprotection in a vertebrate. The method comprises administering the above vaccine to the vertebrate.

10 The present invention is also directed to a method of delivering a desired gene product to a vertebrate. The method comprises administering any of the above microorganisms to the vertebrate.

Among the several advantages achieved by the present invention, therefore, may be noted the provision of vectors and microorganisms for production of a desired gene product, as in a live bacterial vaccine, in which a runaway condition is effected by environmental  
15 conditions that derepress constitutive vector replication and gene product production; the provision of vaccines comprising the above microorganisms for superior stimulation of immunoprotection to the antigen gene product; the provision of methods for inducing immunoprotection to a antigen gene product by using the above vaccines; and the provision of methods for delivering a desired gene product to a vertebrate.

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#### Brief description of Figures and Figure Legends

Figure 1 depicts the RAVs pMEG-546 and pMEG-771 and the essential chromosomal deletion/insertion mutations needed for their maintenance.

25 Figure 2 depicts various deletion and deletion/insertion mutations needed for the maintenance of RAVs as components of regulated antigen delivery systems (RADSS), and deletion mutations suitable for attenuation of vaccine strains to render them avirulent while retaining immunogenicity.

Figure 3 depicts mobilizable suicide vector pMEG-375.

30 Figure 4 depicts suicide vector pMEG-611, for delivery of  $\Delta asdA19::TTaraCP_{BADc}2TT$ .

Figure 5 depicts suicide vector pMEG-249 for delivery of  $\Delta ilvG3::TTaraCP_{BAD}lacITT$ .

Figure 6 depicts suicide vector pYA3484 for delivery of  $\Delta araBAD1923$ .

Figure 7 depicts suicide vector pYA3485 for delivery of  $\Delta araE25$ .

35 Figure 8 depicts suicide vector pMEG-550 for delivery of  $\Delta phoP1918$ .

Figure 9 depicts suicide vector pMEG-368 for delivery of  $\Delta phoP24$ .

Figure 10 depicts the generation of RAVs pMEG-546 from pMEG-283, and pMEG-771 from pMEG-546 and pMEG-104.

Figure 11 depicts the modification of pMEG-771 to include a *lacRB* (LacI repressor binding) site after P22 P<sub>R</sub> but before pUC *RNAIL* to yield pYA3535.

Figure 12 depicts the RAV pMEG-771 derivative with TTaraCP<sub>BADc2</sub>GTGsd in place of *asd*.

Figure 13 depicts the RAV pMEG-525 specifying the Ery65 antigen.

Figure 14 shows the results of experiments demonstrating the increase in copy number for RAVs encoding Ery65. Plasmid DNA is shown for *S. typhimurium* MGN-966(pMEG-283) vector and MGN-966(pMEG-525)+Ery65 or *S. choleraesuis* MGN-2267(pMEG-546) vector and MGN-2267(pMEG-525)+Ery65 grown in Luria Broth in the presence or absence of arabinose following a dilution of 1 to 1,000.

Figure 15 shows the results of the same experiment as in Figure 14, but here demonstrating the increase in Ery65 protein dependent upon growth of *S. typhimurium* MGN-966(pMEG-525) and *S. choleraesuis* MGN-2267(pMEG-525) grown in Luria Broth in the presence or absence of arabinose following a dilution of 1 to 1,000.

Figure 16 shows the growth of *S. typhimurium* strains MGN-966(pMEG-525) expressing Ery65 and MGN-966(pMEG-283) vector control grown in Luria Broth in the presence or absence of arabinose following a dilution of 1 to 1,000.

Figure 17 depicts western blot analyses of sera from mice immunized with the vector control MGN-966(pMEG-283) and with MGN-966(pMEG-525) expressing the Ery65 antigen.

Figure 18 depicts RAV pMEG-573 specifying the *Streptococcus equi* M protein (SeM).

Figure 19 is a comparison of SeM expression by *S. typhimurium* strains MGN-4598(pMEG-825) P22P<sub>R</sub>SeM, MGN-4598(pMEG-826) P22P<sub>trc</sub>SeM, MGN-2238(pMEG-575)  $\lambda$ P<sub>L</sub>SeM containing different Asd<sup>+</sup> vectors with antigen expression under control of various promoters in comparison to the expression by a RADS, MGN-4598(pMEG-573) +SeM, as revealed by Coomassie staining or Western blot of PAGE of total proteins of the strains when grown for six hours in Lennox Broth in the presence or absence of arabinose following a dilution of 1 to 1,000.

Figure 20 depicts Asd<sup>+</sup> vector pYA3530 with *araCP*<sub>BAD</sub>GTGsd.

Figure 21 depicts Asd<sup>+</sup> vector pYA3531 with *araCP*<sub>BADc2</sub>GTGsd.

Figure 22 depicts DNA transfer vector based on RAVs.

Figure 23 depicts suicide vector pMEG-776, for delivery of  $\Delta endA3$ .

#### Detailed Description of the Invention

##### 5 A. Definitions

"Recombinant host cells", "host cells", "cells" and other such terms denoting microorganisms are used interchangeably, and refer to cells which can be, or have been, used as recipients for recombinant vectors or other transferred DNA, and include the progeny of the original cell transfected. It is understood that the progeny of a single parental cell may not  
10 necessarily be completely identical in genomic or total DNA complement as the original parent, due to accidental or deliberate mutation.

A "progeny population" means the population of living bacterial cells in a culture propagated from a single, recombinant bacterial cell. Unless otherwise defined, a recombinant gene on an extrachromosomal vector is "stably maintained" in a progeny  
15 population when the majority of the cells in a population which lack a native essential gene which is complemented by the recombinant gene are both able to survive in a particular environment (e.g., lacking diaminopimelic acid (DAP)) and continue to maintain and/or express a desired gene on the extrachromosomal vector. Preferably, at least 90% of the cells in the population survive in a stably maintained environment; more preferably, at least 99% of  
20 the cells survive.

"Control sequence" refers to DNA sequences that are necessary to effect the expression of coding sequences to which they are operably linked. As such, control sequences provide sites for the action of repressors, activators, enhancers, RNA polymerase, and other transcription factors. Nonlimiting examples of such control sequences are  
25 promoters and ribosome binding sites.

Control sequences permitting expression of gene products in bacteria are distinctly different from control sequences necessary for gene expression in eukaryotic organisms such that prokaryotic control sequences generally do not function in eukaryotic cells and vice versa. The term "control sequence" can encompass those sequences from prokaryotes or  
30 eukaryotes.

A "regulator gene" is a gene that encodes a protein that controls the rate of synthesis of another gene. An example of a regulator gene is a gene that encodes a repressor.

As used herein, a "repressor" is a protein that is synthesized by a regulator gene and binds to an operator locus, blocking transcription of that operon.

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use the TTG start codon, but never CTG. When transcribing messenger RNA, transcription starts at the +1 nucleotide site and ends after the transcription terminator.

A "native gene" is a gene as it occurs in the chromosome of a wild-type organism, for example, the gene encoding  $\beta$ -aspartic semialdehyde dehydrogenase (Asd) in wild-type *E.*

5 *coli* or *Salmonella*.

A "recombinant gene," as used herein, is defined as an identifiable polynucleotide sequence within a larger polynucleotide sequence that is not found in nature in that form and position in the larger sequence. The recombinant gene can be, for example, a wild-type gene that is inserted in a non-native position in the chromosome, or a mutant form of the wild-type gene in the native position, or a wild-type gene inserted into its native position along with other non-native sequences, as can occur at low frequency in homologous recombination between a plasmid and a chromosome. A recombinant gene can also include combinations of coding regions with control regions with which the coding regions do not naturally occur. As used herein, recombinant genes are the products of particular genetic engineering manipulations.

The gene symbols for mutant strains utilized herein are those described by Berlyn, Chapter 109 in Neidhardt et al., 1996, and Sanderson et al., Chapter 110 in Neidhardt et al., 1996. The symbols used for transposons, particularly Tn10, follow the convention used in Altman et al., Chapter 141 in Neidhardt et al., 1996.

20 A "replicon" is an autonomously replicating DNA. The characteristic of autonomous replication is conferred by an origin of replication. Examples include plasmid vectors, and bacterial chromosomes.

A "runaway vector" ("RAV") is a extrachromosomal replicating nucleic acid such as a plasmid which comprises two origins of replication. One of the origins of replication confers a low copy number and preferably confers vector replication using DNA polymerase III; the second origin of replication preferably confers vector replication using DNA polymerase I. that can replicate in a microorganism at either a low copy number or a high copy number, depending on the status of control sequences controlling copy number.

25 An "individual" treated with a vaccine of the invention is defined herein as including all vertebrates, for example, mammals, including domestic animals and humans, various species of birds, including domestic birds, particularly those of agricultural importance. In addition, mollusks and certain other invertebrates have a primitive immune system, and are included as an "individual".

30 "Transformation" or "transfection," as used herein, refers to the insertion of an exogenous polynucleotide into a host cell, irrespective of the method used for the insertion,

for example, direct uptake (naturally or by electroporation), transduction, or conjugation. The exogenous polynucleotide may be maintained as a plasmid, or alternatively, may be integrated within the host genome.

By "vaccine" is meant an agent designed to stimulate the immune system of a living organism so that protection against future harm is provided. A particular vaccine may or may not be effective in any particular animal. Immunization refers to the process of rendering an organism immune to a disease.

As used herein, "immune system" refers to anatomical features and mechanisms by which a multi-cellular animal reacts to an antigen. As is well known, the vertebrate humoral immune system results in the elicitation of antibodies that specifically bind to the antigen. The antibody so produced may belong to any of the immunological classes, such as immunoglobulins A, D, E, G or M. Of particular interest are vaccines that stimulate production of immunoglobulin A (IgA) since this is the principal immunoglobulin produced by the secretory system of warm-blooded animals. However, vaccines of the present invention are not limited to those that stimulate IgA production. For example, vaccines of the nature described *infra* are likely to produce a range of other immune responses in addition to IgA formation, for example, cellular immunity. Immune response to antigens is well studied and widely reported. A survey of immunology is given in Roitt, Brostoff and Male, *Immunology*: Fourth Edition, C.V. Mosby International Ltd., London (1998). Unless otherwise indicated, vaccines are live bacteria that express or deliver antigens or genetic material encoding antigens to which immune responses are desired.

As used herein, "immunogenic" means able to elicit an immune response.

A vertebrate is any member of the subphylum Vertebrata, a primary division of the phylum Chordata that includes the fishes, amphibians, reptiles, birds, and mammals, all of which are characterized by a segmented bony or cartilaginous spinal column. All vertebrate species have a functional immune system and respond to antigens by cellular and/or humoral immune responses. Thus all vertebrates are capable of responding to vaccines. Although vaccines are most commonly given to mammals, such as humans or dogs (rabies vaccine), vaccines for commercially raised vertebrates of other classes, such as the fishes and birds, are contemplated as being within the scope of the present invention.

As used herein, a "pathogen" is a microorganism that is capable of causing disease or impairing normal physiological function, or is an attenuated derivative of a disease causing microorganism.

"Attenuated" refers to a pathogen having mutations that reduce the ability of the pathogen to elicit disease symptomology and disease in an individual, but which do not



eliminate the potential of the attenuated bacterium to attach to, invade and persist in appropriate lymphoid tissues within the individual. Attenuated microbes are useful, for example, to expose an organism to a particular gene product, such as an antigen or a therapeutic protein, over an extended time period. "Attenuated" does not mean that a microbe of that genus or species cannot ever function as a pathogen, but that the particular microbe being used is attenuated with respect to the particular animal being tested. Attenuated host cells of the present invention may belong to a genus or species that is normally pathogenic. Attenuated strains are incapable of inducing a full suite of symptoms of the disease that is normally associated with its pathogenic counterpart. Sometimes "avirulent" is used as a substitute term for attenuated.

Lymphoid tissues of interest in the present invention include the gut-associated lymphoid tissue (GALT), bronchial-associated lymphoid tissue (BALT), basal-associated lymphoid tissue (NALT), mucosal-associated lymphoid tissue (MALT), and the conjunctive-associated lymphoid tissue.

As used herein, "microbe" or "microorganism" includes bacteria, viruses, protozoa, and unicellular fungi.

As used herein, "DNA vaccine vector" refers to a plasmid DNA molecule propagated in a bacterial cell that has a gene sequence encoding a desired gene product operably linked to a eukaryotic control sequence, so that the desired gene product is expressed only after introduction of the DNA vaccine vector internally into eukaryotic cells by vaccination (immunization). The DNA vaccine vector can be administered to individuals to be immunized by injection, air gun or preferably by use of attenuated bacteria that liberate the DNA vaccine vector on entrance into host cells of the immunized individual. *See, e.g.,* Herrmann et al., 1999, "DNA Vaccines for Mucosal Immunity," pp. 809-816 in MUCOSAL IMMUNITY, Second ed., Ogra, Mestecky, Lamm, Strober, Bienenstock, and McGhee, eds., Academic Press, San Diego, 1628 pp.; Ulmer et al. (1996).

## **B. General Description**

Unless otherwise indicated, the practice of the present invention employs conventional techniques of cell culture, molecular biology, microbiology, recombinant DNA manipulation, immunology and animal science, which are within the skill of the art. Such techniques are explained fully in the literature. *See, e.g.,* DNA CLONING, Volumes I and II (D.N. Glover, ed., 1985); OLIGONUCLEOTIDE SYNTHESIS (M.J. Gait ed., 1984); NUCLEIC ACID HYBRIDIZATION (B.D. Hames and S.J. Higgins, eds., 1984); B. Perbal, A PRACTICAL GUIDE TO MOLECULAR CLONING (1984); the series, METHODS IN

ENZYMOLOGY (Academic Press, Inc.); VECTORS: A SURVEY OF MOLECULAR CLONING VECTORS AND THEIR USES (R.L. Rodriguez and D.T. Denhardt, eds., 1987, Butterworths); Sambrook et al. (1989), MOLECULAR CLONING, A LABORATORY MANUAL, second ed., Cold Spring Harbor Laboratory Press; Sambrook et al. (1989),  
 5 MOLECULAR CLONING, A LABORATORY MANUAL, second ed., Cold Spring Harbor Laboratory Press; and Ausubel et al. (1995), SHORT PROTOCOLS IN MOLECULAR BIOLOGY, John Wiley and Sons.

The present invention is based on the discovery that the production of a vector-borne recombinant desired gene product in a microorganism can be conveniently increased by  
 10 utilizing vector control elements that can be induced to increase the copy number of the vector.

The invention is directed toward a Regulated Antigen Delivery System (RADS), which utilizes microorganisms that comprise extrachromosomal vectors, called runaway vectors (RAVs), as well as genes encoding at least one repressor whose synthesis is under the  
 15 control of an activatable control sequence. Essential elements of a RAV are (a) a gene encoding a desired gene product operably linked to a control sequence, (b) a first origin of replication ("ori") conferring vector replication using DNA polymerase I, (c) a second ori conferring vector replication using DNA polymerase III. The second ori is operably linked to a first control sequence that is repressible by the repressor.

In a RADS, the microorganism is maintained under conditions in which the first control sequence is repressed. Since the first control sequence controls utilization of the second ori, replication under these maintenance conditions is controlled by the first ori. However, under conditions where the repressor affecting the second ori is not made, derepression of the second ori takes place and control of vector replication switches to the  
 20 second ori. The second ori then confers uncontrolled vector replication, thus producing very large amounts of the vector and the desired gene product encoded on the vector.

As further discussed *infra*, preferred use of RADS microorganisms is as a live bacterial vaccine. In those embodiments, the desired gene product is an antigen.

In a RADS, levels of expression of the desired gene product is controlled, at least in  
 30 part, by controlling vector copy number. Copy number is controlled through the use of more than one origin of replication (ori) on the RAV.

As is well known, an ori is the region on a chromosome of extrachromosomal vector where DNA replication is initiated. For a review of plasmid replication in bacteria, see  
 35 Helinski et al., pp. 2295-2324 in *Escherichia coli* and *Salmonella*, Cellular and Molecular

Biology, Second Ed. Neidhardt et al., Eds. 1996. In the ColE1-type plasmids, replication is initiated by the synthesis of a 700-base preprimer RNA, designated RNA II. Transcription of RNA II is initiated 555 bases upstream from the ori. Upon transcription, the 3' end of RNA II forms a hybrid with the plasmid at the ori. Cleavage of this RNA-DNA hybrid occurs at the replication origin, exposing a 3'-hydroxyl group that serves as the primer for DNA synthesis catalyzed by host DNA polymerase I. ColE1-type replicons do not specify an essential replication protein, however, they do require DNA polymerase I. Replication control in the ColE1-type plasmids is normally regulated by the binding of an unstable RNAI transcript, which is complementary to the RNAII pre-primer transcript. RNAI is transcribed divergent to, but within the RNAII coding region. Additional levels of regulation are provided by a protein encoded by *rop* elsewhere on the plasmid, which interacts with the RNAI and RNAII transcripts to prevent DNA replication. The RAV as described has been obtained by replacing the native promoter for RNAII with a strong regulated promoter, capable of producing excess RNAII transcripts and thus uncontrolled replication of the ColE1-type replicon when the repressor for the new promoter is not present.

The choice of ori's for use in the present invention is not narrowly limited, provided the first ori confers replication by DNA polymerase III (e.g., the product of the *dnaE* gene in *E. coli*) and the second ori confers replication by DNA polymerase I (e.g., the product of the *polA* gene in *E. coli*). Preferably, the first ori confers a low copy number to the vector, to minimize any disadvantage imparted by replication of the vector. A preferred first ori is a pSC ori, which is known to confer about six to eight vector copies per chromosomal DNA equivalent in *E. coli* or *Salmonella spp.* A preferred second ori is a pUC ori.

The second ori is operably linked to a promoter that is repressible by a repressor. Preferred promoters for this purpose are  $P_{lac}$  or  $P_{trc}$ , which are repressed by the LacI repressor, and  $P_{22}$   $P_R$ , which is repressed by the C22 repressor.

The LacI repressor and C22 repressor are preferred repressors. Preferably, these repressors are encoded on the chromosome of the microorganism. The repressor gene is operably linked to an activatable control sequence that allows synthesis of the repressor only when the inducer of the control sequence is present. As more fully discussed *infra*, a preferred activatable control sequence is  $araCP_{BAD}$ , which is activated by arabinose. Therefore, in a RADS microorganism using  $araCP_{BAD}$  to control repressor synthesis, the presence of arabinose activates expression of the repressor, which prevents the utilization of the second ori. Vector replication is then under the control of the first ori. However, when arabinose is withdrawn, the repressor is no longer made and the first control sequence is derepressed, allowing runaway replication of the vector. Regulation with arabinose is also

useful since free arabinose is not generally available in nature. For example, arabinose is absent from avian and vertebrate tissues.

Regulation with arabinose is especially useful for delayed RADS. As discussed more fully *infra*, in delayed RADS, the induction of the high copy number ori is delayed after being triggered. Such a system is useful for live bacterial vaccines, which are generally grown in culture under conditions in which the runaway plasmid replication is not initiated (e.g., with arabinose). The bacteria are then inoculated into the vertebrate. In such a system, the inoculated bacteria colonize the lymphoid tissue before runaway plasmid replication is initiated, which allows production of large amounts of antigen. The delay in initiating runaway vector replication by the high copy number ori avoids the interference of the bacteria's ability to colonize caused by the production of high antigen levels and high vector levels. A delay system where *araC*-P<sub>BAD</sub> controls synthesis of the repressor, to allow the second ori to initiate runaway replication when arabinose is not present, is effective because, once arabinose is no longer supplied, it takes time for the arabinose concentration to decline sufficiently to allow the AraC protein to begin acting as a repressor. However, this delay is not very long because the *araCBAD* operon efficiently metabolizes arabinose, thus rapidly (within about 15 min) reducing arabinose levels to the point where repressor synthesis does not occur. This short delay system can nonetheless allow sufficient delay of the initiation of runaway replication to provide an advantage, e.g., in live bacterial vaccines where the vaccine can be delivered to lymphoid tissue quickly, as is the case with intranasal inoculation. However, with oral inoculation, runaway replication would commence well before the vaccine reaches the GALT. In that situation, much of the antigen produced by the runaway vector is not exposed to lymphoid tissue and is thus wasted. Therefore, for situations where a longer delay is desired, as with oral administration of a live bacterial vaccine, an enhanced delay RADS can be utilized. In that system, the time of temporary viability is extended by utilizing a microorganism with an inactivation mutation in the operon that controls production of enzymes that degrade the inducer. Where arabinose is the inducer, its metabolism can be eliminated by an inactivating deletion mutation in the *araCBAD* operon. Such a deletion prevents metabolism of arabinose, leading to a higher intracellular level of arabinose after arabinose exposure has been withdrawn. This results in a longer delay before arabinose levels decline sufficiently to allow the AraC protein to begin acting as a repressor. This enhanced delay is sufficient to allow orally administered vaccines comprising the enhanced delayed RADS system to colonize the GALT before runaway vector replication is initiated.

An example of a RAV is the plasmid vector pMEG-771 (Figure 1). pMEG-771 must be maintained in a bacterial strain which possesses genes for two repressor proteins, the P22

Some elements of RADS were previously described in WO96/40947. See in particular Figure 8 of that publication. However, in that system the RAV was used primarily for the purpose of preventing the bacterial vaccine from retaining viability in nonpermissive environments such as below 30°, since in moving from body temperature to ambient temperature the cI857 vector-borne gene product becomes functional so that *c2* repressor gene expression ceases, which leads to the derepression of the lysis genes.

The RAV exemplified in pMEG-771 utilizes various regulatory elements that work alone or in conjunction with other regulatory elements to achieve the desired result. The regulatory elements used in a RADS are not narrowly limited to those used in pMEG-771 and its associated host (*araCP<sub>BAD</sub>*, *P<sub>R</sub>*, *P<sub>TRC</sub>*, *lacI* repressor, C2 repressor); these elements may be substituted for others with similar functions, described as follows and as known in the art.

In general, the genes in a RADS of the present invention can be regulated 1) by linking the coding sequences to control sequences that promote or prevent transcription under permissive and non-permissive conditions, 2) by regulating the expression of trans regulatory elements that in turn promote or prevent transcription of the genes of the RAV and/or the host chromosome, 3) by adapting or altering trans regulatory elements, which act on the genes of the RAV and/or host chromosome, to be active or inactive under either high copy number or low copy number conditions, or by using combinations of these schemes. The RAVs of the present invention require various promoters to coordinate expression of different elements of the system. Some elements, such as temperature-sensitive repressors or environment-specific regulatory elements, use inducible, derepressible or activatable promoters. Preferred promoters for use as regulatory elements in an RAV are the *cspA* gene promoter, the *phoA* gene promoter, *P<sub>BAD</sub>* (in an *araC-P<sub>BAD</sub>* system), the *trp* promoter, the *tac* promoter, the *trc* promoter,  $\lambda P_L$ , *P<sub>22</sub>* *P<sub>R</sub>*, *mal* promoters, and the *lac* promoter. These promoters mediate transcription at low temperature, at low phosphate levels, in the presence of arabinose, in the presence of low tryptophan levels, and in the presence of lactose (or other *lac* inducers), respectively. Each of these promoters and their regulatory systems are well known.

Trans Regulatory Elements. As used herein, "trans regulatory element" refers to a molecule or complex that modulates the expression of a gene. Examples include repressors that bind to operators in a control sequence, activators that cause transcription initiation, and antisense RNA that binds to and prevents translation of a mRNA. For use in RADS of the present invention, expression from regulated promoters is modulated by promoter regulatory proteins. These promoter regulatory proteins can function to activate or repress transcription from the promoter. Preferred trans regulatory elements are proteins mediating regulation of the *cspA* gene promoter, the *phoA* gene promoter, *P<sub>BAD</sub>* (in an *araC-P<sub>BAD</sub>* system), the *trp* promoter, the *tac* promoter, the *trc* promoter, the *mal* promoters, and the *lac* promoter.

Another type of trans regulatory element is RNA polymerase. Genes of the RADS can be regulated by linking them to promoters recognized only by specific RNA polymerases. By regulating the expression of the specific RNA polymerase, expression of the gene is also regulated. For example, T7 RNA polymerase requires a specific promoter sequence that is not recognized by bacterial RNA polymerases. A T7 RNA polymerase gene can be placed in

the host cell and regulated to be expressed only in the permissive or non-permissive environment. Expression of the T7 RNA polymerase will in turn express any gene linked to a T7 RNA polymerase promoter. A description of how to use T7 RNA polymerase to regulate expression of a gene of interest, including descriptions of nucleic acid sequences useful for this regulation appears in Studier *et al.*, *Methods Enzymol.* 185:60-89 (1990).

Another type of trans regulatory element is antisense RNA. Antisense RNA is complementary to a nucleic acid sequence, referred to as a target sequence, of a gene to be regulated. Hybridization between the antisense RNA and the target sequence prevents expression of the gene. Typically, antisense RNA complementary to the mRNA of a gene is used and the primary effect is to prevent translation of the mRNA. Expression of the genes of a RADS can be regulated by controlling the expression of the antisense RNA. Expression of the antisense RNA in turn prevents expression of the gene of interest. A complete description of how to use antisense RNA to regulate expression of a gene of interest appears in U.S. Patent No. 5,190,931.

Other types of trans regulatory elements are elements of the quorum sensing apparatus. Quorum sensing is used by some cells to induce expression of genes when the cell population reaches a high density. The quorum sensing system is activated by a diffusible compound that interacts with a regulatory protein to induce expression of specific genes (Fuqua *et al.*, *J. Bacteriol.* 176:269-275 (1994)). There is evidence that the diffusible compound, referred to as an autoinducer, interacts directly with a transcriptional activator. This interaction allows the activator to bind to DNA and activate transcription. Each quorum sensing transcriptional activator is typically activated only by a specific autoinducer, although the activator can induce more than one gene. It has also been shown that quorum sensing regulation requires only the transcriptional activator and a gene that contains a functional binding site for the activator (Gray *et al.*, *J. Bacteriol.* 176:3076-3080 (1994)). This indicates that quorum sensing regulation can be adapted for the regulation of genes in a RADS of the present invention. For example, a gene encoding a quorum sensing transcriptional activator can be expressed in a RADS host, and another gene of the RADS can be under the control of a promoter that is controlled by the quorum sensing transcriptional activator. This will cause the RADS gene to be expressed when the cognate autoinducer is present and not expressed in the absence of the autoinducer. A gene under such control is referred to herein as being under quorum control. Where the RADS host produces the autoinducer, the gene under quorum control will be expressed when cell density is high, and will not be expressed when cell density is low. Any of the genes in a RADS can be placed under quorum control, including essential genes, lethal genes, replication genes and regulatory genes, which are described in

WO96/40947. For operation of the RADS, the autoinducer can be supplied, for example, by the RADS host through the action of endogenous genes (that is, genes responsible for the synthesis of the autoinducer), in culture medium, or both. In the later case, the autoinducer supplied in the medium mimics the permissive conditions of high cell density. Alternatively,

5 a gene for the production or synthesis of the autoinducer can be incorporated as an element of the RADS. Such an autoinducer gene would be considered a regulatory gene as used herein.

Examples of quorum sensing transcriptional activator genes and genes for the production of their cognate autoinducer are *luxR* and *luxI* (Gray *et al.*, *J. Bacteriol.* 176:3076-3080 (1994)), *lasR* and *lasI* (Gambello and Iglewski, *J. Bacteriol.* 173:3000-3009 (1991)),

10 *traR* and *traI* (Piper *et al.*, *Nature* 362:448-450 (1993)), *rhlI* and *rhlR* (Latifi *et al.*, *Mol Microbiol* 17(2):333-343 (1995)), and *expR* and *expI* (Pirhonen *et al.*, *EMBO J* 12:2467-2476 (1993)). Autoinducers for these pairs include N-(3-oxohexanoyl)homoserine lactone (VAI; for LuxR), N-(3-oxododecanoyl)homoserine lactone (PAI; for LasR), and N-(3-oxo-octanoyl) homoserine lactone (AAI; for TraR). Some promoters that are induced by the quorum

15 sensing transcriptional activators are *luxI* promoters, the *lasB* promoter, the *traA* promoter, and the *traI* promoter.

Quorum control can be used to effect regulated antigen delivery in a number of ways, for example, by obtaining production of desired gene products such as antigens, and non-expression of high copy number ori primer RNA II sequences under permissive conditions of

20 high cell density in, for example, a fermenter, with the opposite expression pattern appearing as cell density decreases when, for example, the cells are introduced into an animal or released into the environment. As another example, a regulatory gene such *c2* can be placed under quorum control. Then other elements of the RADS can be placed under control of the product of the regulatory gene, using, for example, P22P<sub>R</sub>. The regulatory gene will be

25 expressed in the presence of the autoinducer, and not expressed in the absence of the autoinducer. Where the regulatory gene is *c2* and a RADS gene is linked to P22P<sub>R</sub>, the RADS gene will be expressed (that is, derepressed) when the autoinducer is not present (since no C2 protein will be made), and repressed when the autoinducer is present. Where an essential gene or a replication gene is under quorum control (the autoinducer induces

30 expression), it is preferred that the autoinducer be present under permissive conditions and absent under non-permissive conditions. Where a regulatory gene is under quorum control, the presence or absence of the autoinducer under permissive or non-permissive conditions will depend on whether the product of the regulatory gene is a positive or negative regulator.

Trans regulatory elements, such as repressors or antisense RNA, can be expressed

35 from either the chromosome or a plasmid. To limit the size and complexity of the plasmid



portion of the system, however, it is preferred that these regulatory elements be expressed from the bacterial chromosome.

Temperature-Sensitive Regulation. A preferred type of regulation for microorganisms intended for growth in humans or other warm-blooded animals is temperature regulation. This is based on the contrast between the high and constant body temperature present in mammals and birds and the low and variable temperature present in the ambient environment into which microorganisms are shed. To accomplish this, a preferred RADS expresses genes ensuring survival at about 37°C. It is preferred that, where an RADS is intended to be administered to an animal, any temperature-based regulation should take into account the normal body temperature of the target animal. For example, chickens have a body temperature of 41.5°C, and pigs have a body temperature of around 40°C.

Temperature-regulated gene expression suitable for use in the RADS is described by Neidhardt *et al.*, *Annu. Rev. Genet.* 18:295-329 (1984). There are well-defined heat shock genes that are strongly expressed at high temperature. Although the expression of these genes is temperature-regulated, there is frequently some low basal level of expression at the restrictive temperatures (Jones *et al.*, *J. Bacteriol.* 169:2092-2095 (1987)). Temperature-regulated promoters exhibiting tighter control are described by Tobe *et al.*, *Mol. Micro.* 5:887-893 (1991), Hromockyi *et al.*, *Mol. Micro.* 6:2113-2124 (1991), and Qoronfleh *et al.*, *J. Bacteriol.* 174:7902-7909 (1992).

For desired genes such as antigens, the *S. flexneri virB* promoter can be used, with *S. flexneri virF* gene and promoter elsewhere on the same plasmid, on a separate plasmid, or on the chromosome (Hromockyi *et al.* (1992); Tobe *et al.* (1991)). A *Yersina* two component system for temperature regulation can also be used involving the structural gene for the temperature-regulated positive activator *virF* (Lambert de Rouvroit *et al.*, *Molec. Microbiol.* 6:395-409 (1992) in combination with promoters of the *yopH* or *yadR* genes, with or without modification of the histone-like YmoA protein encoded by *ymoA* (Cornelis, in *Molecular Biology of Bacterial Infections* (Cambridge University Press, Cambridge, 1992)). The *Shigella virF* gene is equivalent to *lcrF* in *Y. pestis* (Hoe *et al.*, *J. Bacteriol.* 174:4275-4286 (1992)). Many other repressor-promoter combinations can be adapted to express genes in a temperature-specific manner by using temperature-sensitive forms of the repressor. Methods for obtaining temperature-sensitive mutant repressors are well established.

Cold-specific expression can also be accomplished by coupling a gene to a cold-shock promoter or a cold-sensitive promoter. Cold shock promoters may be obtained from known cold-shock genes. Cold shock genes with promoters have been described (Jones *et al.* (1987)). An example of a useful cold-shock promoter is the promoter from *cspA* (Vasina and

Baneyx, *Appl. Environ. Micro.* 62:1444-1447 (1996)). Promoters with temperature-specific expression can be identified by a promoter probe vector. Such vectors have flanking DNA from a gene that is dispensable and which can readily be selected for or identified using, for example, a chromogenic substrate. Other cold-specific promoters useful for expression of the essential gene can be identified by screening for cold-sensitive lack of expression of  $\beta$ -galactosidase in an *S. typhimurium lacZ* fusion library (Tanabe *et al.*, *J. Bacteriol.* 174:3867-3873 (1992)).

A preferred system that is less complex involves the interaction of the bacteriophage lambda promoters,  $\lambda P_L$  and  $\lambda P_R$ , with the CI857 temperature-sensitive repressor. This system has been described, for example, by Lieb, *J. Mol. Biol.* 16:149-163 (1966). The lambda phage promoters  $\lambda P_L$  and  $\lambda P_R$ , with their mutant temperature-sensitive repressor CI857, provide a tightly regulated system used in expression vectors to provide controlled expression of toxic genes (O'Connor and Timmis, *J. Bacteriol.* 169:4457-4462 (1987)) and could also be used to regulate the synthesis of the initiator RNA II to initiate RNA replication at the DNA polymerase I dependent ori of high copy number plasmid vectors. The *cI857* gene product is synthesized but inactive at 37°C, and especially at even higher temperatures found in birds and some mammals, and is synthesized but actively represses expression of genes at 30°C and below whose transcription is controlled by either  $\lambda P_L$  or  $\lambda P_R$ .

Leaky expression from the control sequences of a RADS, if encountered, can be eliminated in several ways. For example, the level of CI repressor produced can be increased by placing the *cI857* gene under the control of a strong promoter, such as *P<sub>trc</sub>*, thus providing an excess of the thermosensitive repressor. In addition, more binding sites for the CI repressor can be introduced within the operator region of  $\lambda P_R$  to reduce transcriptional starts at non-permissive temperatures, or engineered into regions downstream of the promoter element to hinder transcription at lower temperatures. Additionally, an antisense RNA for the regulated gene could be transcribed from a differently regulated promoter oriented in the opposite direction to  $\lambda P_R$ .

Arabinose Regulation. As previously discussed, a preferred regulatory system for triggering the expression switch when a microorganism is moved from a permissive to a non-permissive environment is the *araC-P<sub>BAD</sub>* system. The *araC-P<sub>BAD</sub>* system is a tightly regulated expression system which has been shown to work as a strong promoter induced by the addition of low levels of arabinose (see Guzman *et al.*, *J. Bacteriol.* 177(14):4121-4130 (1995)). The *araC-araBAD* promoter is a bidirectional promoter controlling expression of the *araBAD* genes in one direction, and the *araC* gene in the other direction. For convenience, the portion of the *araC-araBAD* promoter that mediates expression of the *araBAD* genes, and

which is controlled by the *araC* gene product, is referred to herein as  $P_{BAD}$ . For use in the vectors and systems described herein, a cassette with the *araC* gene and the *araC-araBAD* promoter should be used. This cassette is referred to herein as *araC*- $P_{BAD}$ . The AraC protein is both a positive and negative regulator of  $P_{BAD}$ . In the presence of arabinose, the AraC protein is a positive regulatory element that allows expression of  $P_{BAD}$ . In the absence of arabinose, the AraC protein represses expression of  $P_{BAD}$ . This can lead to a 1,200-fold difference in the level of expression from  $P_{BAD}$ .

Enteric bacteria contain arabinose regulatory systems homologous to the *araC-araBAD* system from *E. coli*. For example, there is homology at the amino acid sequence level between the *E. coli* and the *S. typhimurium* AraC proteins, and less homology at the DNA level. However, there is high specificity in the activity of the AraC proteins. For example, the *E. coli* AraC protein activates only *E. coli*  $P_{BAD}$  (in the presence of arabinose) and not *S. typhimurium*  $P_{BAD}$ . Thus, a RADS can employ multiple arabinose regulatory sequences from multiple enterics to differentially regulate different components in the same system.

Maltose Regulation. Another preferred regulatory system for triggering the expression switch when high copy number is desired is the *malT* system. *malT* encodes MalT, a positive regulator of four maltose-responsive promoters ( $P_{PQ}$ ,  $P_{EFG}$ ,  $P_{KBM}$ , and  $P_S$ ). The combination of *malT* and a *mal* promoter creates a tightly regulated expression system which has been shown to work as a strong promoter induced by the addition of maltose (see Schleif, "Two Positively Regulated Systems, *ara* and *mal*" pp. 1300-1309 in *Escherichia coli and Salmonella Cellular and Molecular Biology, Second Edition*, Neidhardt et al., eds., ASM Press, Washington, D.C., 1996. Unlike the *araC*- $P_{BAD}$  system, *malT* is expressed from a promoter ( $P_T$ ) functionally unconnected to the other *mal* promoters.  $P_T$  is not regulated by MalT. The *malEFG-malKBM* promoter is a bidirectional promoter controlling expression of the *malKBM* genes in one direction, and the *malEFG* genes in the other direction. For convenience, the portion of the *malEFG-malKBM* promoter that mediates expression of the *malKBM* gene, and which is controlled by the *malT* gene product, is referred to herein as  $P_{KBM}$ , and the portion of the *malEFG-malKBM* promoter that mediates expression of the *malEFG* gene, and which is controlled by the *malT* gene product, is referred to herein as  $P_{EFG}$ . Full induction of  $P_{KBM}$  requires the presence of the MalT binding sites of  $P_{EFG}$ . For use in the vectors and systems described herein, a cassette with the *malT* gene and one of the *mal* promoters should be used. This cassette is referred to herein as *malT*- $P_{mal}$ . In the presence of maltose, the MalT protein is a positive regulatory element which allows expression of  $P_{mal}$ .

As with arabinose and *araC*-P<sub>BAD</sub>, regulation with maltose is useful for delayed RADS. This is because, once maltose is no longer supplied, it takes time for the maltose concentration to decline sufficiently to abolish induction by the MalT protein. To extend the time of temporary viability, it is preferred that strains for use with a maltose regulated RADS contain a deletion of the one or more elements of the *mal* operon. Such a deletion prevents metabolism of maltose, leading to a higher intracellular level of maltose. This results in a longer delay before maltose levels decline sufficiently to abolish induction by the MalT protein. Regulation with maltose is also useful since free maltose is not generally available in nature.

#### Delayed Death

As an alternative to rapid induction of the high copy number ori, the RADS can be designed to allow the host microorganism to remain viable for a limited time after the factor repressing the high copy number ori is withdrawn. This is referred to herein as a delayed RADS and results in RAVs which temporarily continue to be under the control of the lower copy number ori after host exposure to the environmental signal to switch to the high copy number ori. A preferred mechanism for delaying RAV high copy number is to base regulation on a trans regulatory element which must be degraded or diluted before the RAV can switch to the runaway condition. In such a system, upon moving the host microorganism from a high copy number repressed to a high copy number induced environment, a trans regulatory element which maintains the low copy number regime ceases to be produced. However, as long as the trans regulatory elements already on hand remain in sufficient quantity, the low copy number regime can remain in effect. Depending on the turnover of the trans regulatory element and the relationship between the amount of trans regulatory element on hand and the amount of trans regulatory element needed to maintain the low copy number regime, the low copy number regime can be maintained for several generations after transfer to the high copy number environment. Such temporary low copy number condition can be useful, for example, for allowing the host microorganism to colonize the host in a high copy number environment (e.g., without arabinose), such as an animal, but not remain indefinitely. As such, the RADS is a containment system even without the phage lysis genes described in WO96/40947. A delayed RADS is also useful when the desired gene product is harmful to the host cell, as in Example 3. Additionally, the delayed RADS can be used to depend an essential gene of a balanced lethal host system, such as *asd*, on an activatable control sequence such as *araC*P<sub>BAD</sub>, to provide for a weakening of the cell wall upon immunization (and withdrawal of, in this case, arabinose). See Example 5.

A preferred trans regulatory element for use in a delayed RADS consists of the AraC protein and arabinose, its inducer. The AraC protein will continue to repress the high copy number ori operatively linked to P<sub>BAD</sub> until the concentration of arabinose falls below a critical level.

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### Vectors

As used herein, "vector" refers to an autonomously replicating nucleic acid unit. The present invention can be practiced with any known type of vector, including viral, cosmid, phasmid, and plasmid vectors. The most preferred type of vector is a plasmid vector.

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As is well known in the art, plasmids and other vectors possess a wide array of promoters, multiple cloning sequences, etc., and these replicons can be used so that the amount of a synthesized foreign antigen can be controlled by the relative number of gene copies. For example, vectors with p15A, pBR and pUC replicons can be constructed, all of which are dependent on the *polA* gene encoding DNA polymerase I for their replication.

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Determination of whether replication of a vector is dependent on DNA polymerase I can be accomplished by growing the vector in a host with a temperature-sensitive *polA* mutation, such as  $\chi$ 1891 (see Table 1), and checking for vector maintenance as a function of temperature. Preferably, vectors used in RAD systems do not use antibiotic resistance to select for maintenance of the vector.

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Preferred vectors have all of the essential elements of a RAV, that is (a) a gene encoding a desired gene product operably linked to a control sequence, (b) an origin of replication ("ori") conferring a low or intermediate copy number of the vector in the microorganism, and (c) an ori conferring a high copy number. Other elements which are part of any particular RADS may be on the vector or on another compatible vector or on the chromosome of the host microorganism.

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Transfer Vectors. Rather than expressing an expression product directly, a microorganism can harbor a RAV for transfer to, and expression in, another cell in the environment into which the microorganism is placed. As used herein, a transfer vector is an expression vector which can be transferred from a RADS microorganism into a cell, and which directs the expression of an expression gene encoded by the transfer vector. It is intended that the transfer vector can contain any expression gene, including genes encoding antigens, immunomodulators, enzymes, and expression products that regulate gene expression or cellular activity in the recipient cell.

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Preferred recipients for transfer vectors are cells of animals treated with the vector.

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For this purpose, RADS microorganisms containing a RAV transfer vector can be

administered to an animal. It is preferred that the microorganisms invade cells of the animal in order to deliver the transfer vector. For this purpose, it is preferred that the microorganism lyses once it enters a cell of the animal. A preferred method for causing this lysis is an ELVS system, as described in WO96/40947. In that system, vector-borne lethal genes such as the phage lysis genes *lys 13* and *lys 19* are operably linked to P22 P<sub>R</sub> and the chromosome-encoded C2 repressor is operably linked to *araCP*<sub>BAD</sub>. Introduction of the strain into an environment without arabinose, such as in an inoculated animal, results in a dilution of the C2 repressor present until the lethal gene products kill the cell. In addition, the RADS with a RAV comprising a transfer vector can be designed as an ELVS that lysis due to regulated lysis genes inserted into the chromosome. Such expression of lysis genes would exhibit delayed expression such that lysis would only occur after the vertebrate cells with the transfer vector had entered a eukaryotic cell and conferred runaway vector replication. See also Example 6, which describes novel transfer vector adaptations to the RADS. When properly designed, the ELVS system is fully compatible with the RADS system and may share control elements. In this case, lysis of the cell, for example caused by an ELVS, will release the transfer vector inside the recipient cell. For expression of genes on the transfer vector in recipient cells, it is preferred that the expression genes be operatively linked to expression control sequences operable in the recipient cell. For example, where the recipient cell is an animal cell, it is preferred that the expression genes be operatively linked to a promoter functional in the animal and possess sequences ensuring polyadenylation of the mRNA. Methods for engineering such sequences are well known in the art.

Transfer vectors may also contain replication sequences operable in the recipient cell. This would allow replication of the transfer vector, resulting in increased or longer expression of expression genes present on the transfer vector. Transfer vectors are especially useful for expression of antigens and other proteins that need to be glycosylated or post-translationally modified in a eukaryotic cell. In this way a bacterial cell with a RAV/ELVS vector can be used for delivery of a protein requiring eukaryotic processing by expressing the protein from a transfer vector.

A preferred use for transfer vectors is in a RADS for stimulation of an immune response in an animal. For this purpose it is preferred that the bacteria is avirulent *Salmonella*, *Shigella*, *Yersinia*, or invasive *Escherichia* that would invade and then lyse to liberate a transfer vector designed for expression in cells of the animal. This can be useful in stimulating an immune response for viruses, parasites or against gamete antigens in which the antigens are normally glycosylated or post translationally modified in some way that can only be accomplished when the antigen product is synthesized within the eukaryotic cell.

The efficiency of transfer of a transfer vector can be improved by including an *endA* mutation, mutations in *recBC* (with or without *sb*c suppressor mutations), and/or mutations in other nuclease genes. Such mutations can reduce degradation of the transfer vector upon lysis of the bacterial cell. It is also possible to influence the cell type and the mucosal surface to which the microorganism containing the transfer vector would adhere to and invade. This can be achieved by blocking or turning on the expression of specific adhesins and/or invasins.

Many vectors are known for DNA immunization or introduction into cells in an animal. Such vectors can be used as transfer vectors in microorganisms containing a RAV with an ELVS. In this case, the RADS provides a useful means for introducing such vectors into cells. Preferred promoters for expression of expression genes on transfer vectors are adenovirus, herpes virus and cytomegalovirus promoters. Expression of the expression gene can also be increased by placing a bacterial promoter upstream of the eukaryotic promoter, so that the bacterial strain would already express some of the expression product. This expression product would be liberated upon lysis of the bacterium.

Preferred bacterial hosts/strains and vectors useful in, or useful for constructing, Environmentally Limited Viability Systems are listed in Tables 1 and 2.

**Table 1**  
**Bacterial Strains**

Strain	Description	Genotype
$\chi$ 3339	<i>S. typhimurium</i> SL 13444 wild-type, isolated from liver of moribund mouse after peroral inoculation.	wild type, <i>rpsL hisG</i>
$\chi$ 3761	<i>S. typhimurium</i> UK-1 wild-type strain obtained as a chicken passaged spleen isolate.	wild type
$\chi$ 8429 (MGN-2030)	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>phoP24</i> . See Fig. 2 for detail.	$\Delta$ <i>phoP24</i>
$\chi$ 8431 (MGN-2084)	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>phoP1918</i> (intact <i>phoQ</i> ). See Fig. 2 for detail.	$\Delta$ <i>phoP1918</i>
$\chi$ 8448	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>araBAD1923</i> . Generated $\Delta$ <i>araBAD1923</i> deletion by conjugating $\chi$ 3761 with MGN-617(pYA3484). See Fig. 2 for detail.	$\Delta$ <i>araBAD1923</i>
$\chi$ 8449	Defined deletion derivative of <i>S. typhimurium</i> SL 1344 containing $\Delta$ <i>araBAD1923</i> . Generated $\Delta$ <i>araBAD1923</i> deletion by conjugating $\chi$ 3339 with MGN-617(pYA3484). See Fig. 2 for detail.	$\Delta$ <i>araBAD1923 rpsL hisG</i>
$\chi$ 8477	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>araE25</i> . Generated $\Delta$ <i>araE25</i> deletion by conjugating $\chi$ 3761 with MGN-617(pYA3485). See Fig. 2 for detail.	$\Delta$ <i>araE25</i>
$\chi$ 8478	Defined deletion derivative of <i>S. typhimurium</i> SL 1344 containing $\Delta$ <i>araE25</i> . Generated $\Delta$ <i>araE25</i> deletion by conjugating $\chi$ 3339 with MGN-617(pYA3485). See Fig. 2 for detail.	$\Delta$ <i>araE25 rpsL hisG</i>
$\chi$ 8514	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>phoP</i> $\Delta$ <i>asdA16</i> . Generated $\Delta$ <i>asdA16</i> deletion by conjugating $\chi$ 8429 with MGN-617(pMEG-443).	$\Delta$ <i>phoP24</i> $\Delta$ <i>asdA16</i>
$\chi$ 8516	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>araBAD1923</i> $\Delta$ <i>araE25</i> . Generated $\Delta$ <i>araE25</i> deletion by conjugating $\chi$ 8477 with MGN-617(pYA3484).	$\Delta$ <i>araBAD1923</i> $\Delta$ <i>araE25</i>
$\chi$ 8517	Defined deletion derivative of <i>S. typhimurium</i> SL 1344 containing $\Delta$ <i>araBAD1923</i> $\Delta$ <i>araE25</i> . Generated $\Delta$ <i>araBAD1923</i> deletion by conjugating $\chi$ 8478 with MGN-617(pYA3484).	$\Delta$ <i>araBAD1923</i> $\Delta$ <i>araE25 rpsL hisG</i>
$\chi$ 8554	Defined deletion derivative of <i>S. typhimurium</i> SL 1344 containing $\Delta$ <i>asdA16</i> . Generated by conjugating $\chi$ 3339 with MGN-617(pMEG-443).	$\Delta$ <i>asdA16 rpsL hisG</i>
$\chi$ 8581	Defined deletion derivative of <i>S. typhimurium</i> UK-1 containing $\Delta$ <i>araBAD1923</i> $\Delta$ <i>asdA16</i> . Generated $\Delta$ <i>asdA16</i> deletion by conjugating $\chi$ 8448 with MGN-617(pMEG-443).	$\Delta$ <i>araBAD1923</i> $\Delta$ <i>asdA16</i>
$\chi$ 8582	Defined deletion derivative of <i>S. typhimurium</i> SL 1344 containing $\Delta$ <i>araBAD1923</i> $\Delta$ <i>asdA16</i> . Generated $\Delta$ <i>asdA16</i> deletion by conjugating $\chi$ 8449 with MGN-617(pMEG-443).	$\Delta$ <i>araBAD1923</i> $\Delta$ <i>asdA16 rpsL hisG</i>
$\chi$ 8583	Defined deletion derivative of <i>S. typhimurium</i> UK-1	$\Delta$ <i>araBAD1923</i>



	containing <i>ΔaraBAD1923 ΔaraE25 ΔasdA16</i> . Generated by conjugating $\chi$ 8516 with MGN-617(pMEG-443).	<i>ΔaraE25 ΔasdA16</i>
$\chi$ 8584	Defined deletion derivative of <i>S. typhimurium</i> SL 1344 containing <i>ΔaraBAD1923 ΔaraE25 ΔasdA16</i> . Generated by conjugating $\chi$ 8517 with MGN-617(pMEG-443).	<i>ΔaraBAD1923 ΔaraE25 ΔasdA16 rpsL hisG</i>
MGN-023	An Asd <sup>-</sup> Tet <sup>s</sup> derivative of <i>S. typhimurium</i> UK-1 $\chi$ 3761 obtained after counter selection on fusaric acid of the pMEG-006 integrant.	<i>ΔasdA16</i>
MGN-2267	A sucrose resistant <i>ΔasdA16</i> derivative of <i>S. choleraesuis</i> , MGN-2266. <i>ΔphoP1918</i> attenuated host for runaway vectors regulated by arabinose controlled lacI.	<i>ΔphoP1918 ΔasdA16 ΔilvG3(P<sub>BAD</sub>.lacI)</i>
MGN-2338	A sucrose resistant <i>ΔphoP1918</i> derivative of <i>S. typhimurium</i> UK-1, MGN-2237. This is an arabinose regulated expression strain for expression of antigens on both lambda P <sub>L</sub> and P <sub>trc</sub> expression vectors and runaway vectors. The P <sub>BAD</sub> .cI857 insert in <i>ΔasdA21</i> provides temperature and arabinose regulated expression of genes on λP <sub>L</sub> vectors. while P <sub>BAD</sub> .lacI regulates P <sub>trc</sub> vectors.	<i>ΔasdA21 (P<sub>BAD</sub>.cI857) ΔilvG3 (P<sub>BAD</sub>.lacI) ΔphoP1918</i>
MGN-4598	A <i>Δasd19 :: (P<sub>BAD</sub>.C2)</i> derivative of <i>S. typhimurium</i> UK-1, MGN-4597 obtained by plating on LB+5% sucrose + DAP and then screening sucrose resistant isolates for Asd <sup>-</sup> phenotype.	<i>ΔaraBAD1923 ΔphoP24 ΔilvG3:: (P<sub>BAD</sub>.lacI) Δasd19 :: (P<sub>BAD</sub>.C2)</i>
MGN-617	<i>E. coli</i> K-12 <i>ΔasdA4</i> suicide donor strain derived from SM10 λ pir, following transduction with P1 from $\chi$ 2981 to Tet resistance and Asd <sup>-</sup> . Fusaric acid resistant isolate selected and confirmed to be Ap <sup>s</sup> , Tet <sup>s</sup> , and Asd <sup>-</sup> .	<i>thi-1 thr-1 leuB6 supE44 tonA21 lacY1 recA RP4-2-Tc::Mu lpir, ΔasdA4 Δzfhf-2::Tn10</i>
MGN-966	A defined <i>ΔphoP22</i> derivative of <i>S. typhimurium</i> UK-1 MGN-965. obtained by plating MGN-965 on fusaric acid plates and screening for the Pho <sup>-</sup> phenotype by sodium acetate soft agar overlay. This strain has the arabinose regulated P22 C2 repressor in <i>Δasd</i> and the arabinose regulated lacI repressor in <i>ΔilvG</i> .	<i>ΔphoP22 ΔasdA19 (P<sub>BAD</sub>.C2) ΔilvG3 (P<sub>BAD</sub>.lacI)</i>
SE-9	<i>Erysipelothrix rhusiopathiae</i> virulent swine isolate of serotype 2.	wild-type

Table 2

## Plasmid Vectors

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Plasmid	Description
pMEG-104	A P22 P <sub>R</sub> lysis and $\lambda$ P <sub>L</sub> regulated asd containment vector on p15A replicon, obtained by deleting the 1.36 kb Sall fragment of pMEG-100 containing the Km cartridge.
pMEG-249	A mobilizable suicide vector for P <sub>BAD</sub> .lacI construct in $\Delta ilvG3$ derived from the suicide vector pMEG-149. This vector allows integration of the lacI gene driven by P <sub>BAD</sub> into the chromosome followed by sucrose counter-selection and screening for aminotriazole sensitivity. Provides an arabinose regulated control of expression of P <sub>trc</sub> and P <sub>lac</sub> RAVs.
pMEG-283	A regulated pUC replicon/pSC101 plasmid with pUC ori driven by P <sub>lac</sub> . This provides P <sub>lac</sub> control of the RNAII primer of replication. When in a P <sub>BAD</sub> .lacI strain exhibits low copy number (6-8 copies) when arabinose is present to produce lacI from P <sub>BAD</sub> .lacI in the host, however, without arabinose plasmid exhibits very high copy number (>300).
pMEG-368	A suicide donor for $\Delta phoP24$ . The 3.1 kb EcoRV fragment of pMEG-359 (derived from pEG5381) cloned into the SmaI site of pMEG-149. This phoP24 deletion contains the trpA terminator with a new NotI site but does not modify the sequence of phoQ.
pMEG-375	A chloramphenicol and ampicillin resistant mobilizable suicide vector derived from pMEG-149 by inserting the ~1.6 kb HincII-XmnI fragment of pYA800 containing the cat gene of pACYC184 into the SspI site of pMEG-149.
pMEG-446	pMEG-446 contains the PCR product of Ery65 with signal sequence from <i>Erysipelothrix rhusiopathiae</i> strain E1-6P, ligated into pYA3332.
pMEG-525	Runaway vector expressing Ery65 of <i>Erysipelothrix rhusiopathiae</i> from the 2.5 kb BstEII-HindIII fragment of pMEG-446 containing Ery65 with the signal sequence subcloned into BstEII-HindIII digested pMEG-283.
pMEG-546	A runaway expression vector with an NcoI cloning site yet retaining the blue white lacZ screen for inserts. This plasmid was produced by inverse PCR of pMEG-283 using primers pMEG530-NCO1 and pMEG530-NCO2, introducing a unique NcoI site between the EcoRI site and the P <sub>trc</sub> promoter
pMEG-550	A $\Delta phoP1918$ suicide vector obtained by cloning the smaller EcoRV fragment from pMEG-549 (containing $\Delta phoP1918$ ) into the PmeI site in pMEG-375. pMEG-549 was derived from inverse PCR using primers designed to delete phoP upstream sequences (-10 and -35) and ATG through TAA ( <i>phoP1918</i> ) of pEG5381 from E. Groisman.
pMEG-573	A runaway vector expressing the SeM protein of <i>Streptococcus equi</i> without the signal sequence. Obtained by PCR amplification of sem from pSEM06 using primers SeM444-474NcoI and SeM1265-1233Bam and cloning into the NcoI and BamHI sites of pMEG-546.
pMEG-575	A $\lambda$ P <sub>L</sub> expression vector for SeM protein of <i>Streptococcus equi</i> without signal sequence, obtained by PCR amplification of sem from pSEM06 using primers SeM404-474NcoI and SeM1265-1233Bam and cloning into the NcoI and BamHI sites of pMEG-547, a P <sub>L</sub> expression vector with pBR ori. Expression is regulated by lambda CI repressor in P <sub>BAD</sub> .CI host.
pMEG-611	A mobilizable suicide vector for introduction of $\Delta asdA19$ , derived from pMEG-375 by inserting the ~4.6 kb AccI(blunted)-SphI fragment of pMEG-221 containing the P22 C2 repressor under the control of AraCP <sub>BAD</sub> in the $\Delta asdA16$ deletion into the SphI-PmeI sites of pMEG-375.

pMEG-771	A runaway replicon expression vector obtained by removing the EagI-XhoI fragment of pMEG-546 containing the lac promoter, and replacing the lac promoter with the HindIII-SalI fragment of pMEG-104 containing the 5S T1 T2 transcription terminator and the P22 P <sub>R</sub> .
pMEG-776	A chloramphenicol and ampicillin resistant suicide vector for inserting $\Delta$ endA3 of <i>S. typhimurium</i> into the chromosome, obtained by ligating the BamHI-NotI $\Delta$ endA fragment from pMEG-761 into the BamHI-NotI sites of pMEG-375.
pMEG-825	P22 P <sub>R</sub> expression vector for SeM protein of <i>Streptococcus equi</i> without signal sequence, obtained by inserting the 829 bp BamHI-NcoI SeM fragment from pMEG-573 into BamHI-NcoI digested pMEG-818, a P22 P <sub>R</sub> expression vector with pBR ori. Expression is regulated by P22 C2 repressor in P <sub>BAD</sub> .C2 host.
pMEG-826	P <sub>trc</sub> expression vector for SeM protein of <i>Streptococcus equi</i> without signal sequence, obtained by inserting the 829 bp BamHI-NcoI SeM fragment from pMEG-573 into BamHI-NcoI digested pYA3333, a P <sub>trc</sub> expression vector with pBR ori. Expression is regulated by LacI repressor in P <sub>BAD</sub> .lacI host.
pYA3332	A <i>lacZ</i> $\alpha$ negative derivative of <i>asd</i> plasmid pYA3098 with the p15A origin of replication.
pYA3450	<i>araC</i> P <sub>BAD</sub> SD- <i>asd</i> vector derived from pMEG-247 with deletions of 35 bp between P <sub>BAD</sub> and <i>asd</i> gene and 24 bp deletion in between the stop codon of <i>asd</i> and the HindIII site of pMEG-247.
pYA3484	Suicide vector derivative of pMEG-375 to generate $\Delta$ <i>araBAD1923</i> . See Fig. 6
pYA3485	Suicide vector derivative of pMEG-375 to generate $\Delta$ <i>araE25</i> . See Fig. 7
pYA3488	<i>araC</i> P <sub>BAD</sub> P22 <i>c2</i> SD- <i>asd</i> vector. P22 <i>c2</i> gene is inserted NheI-EcoRI site of pYA3450
pYA3530	<i>araC</i> P <sub>BAD</sub> SD-GTG <i>asd</i> vector. Asd starting codon ATG of pYA3450 is changed to GTG by site-directed mutagenesis. See Fig. 20
pYA3531	<i>araC</i> P <sub>BAD</sub> P22 <i>c2</i> SD-GTG <i>asd</i> vector. Asd starting codon ATG of pYA3488 is changed to GTG by site-directed mutagenesis. See Fig. 21
pYA3535	A derivative of pMEG-771 to include a <i>lacRB</i> (LacI repressor binding) site in between P22 P <sub>R</sub> and pUC <i>RNAIL</i> . See Fig. 11 for detail.

As previously discussed, the host cells of the present invention also have a desired recombinant gene encoding the polynucleotide of a desired gene product such as a polypeptide or a mRNA. The choice of desired gene is not narrowly limited and may include genes encoding, for example, viral, bacterial, fungal or parasite antigens, etc.

In order for the desired gene to be useful in the present invention, the gene must be expressed. Gene expression means that the information encoded in the sequence of DNA bases is transformed into a physical product in the form of a RNA molecule, polypeptide or other biological molecule by the biochemical mechanisms of the cell in which the gene is located. The biological molecule so produced is called the gene product. The term gene product as used here refers to any biological product or products produced as a result of expression of the gene. The gene product may be, for example, an RNA molecule, a peptide, or a product produced under the control of an enzyme or other molecule that is the initial product of the gene, i.e., a metabolic product. For example, a gene may first control the synthesis of an RNA molecule that is translated by the action of ribosomes into an enzyme that controls the formation of glycans in the environment external to the original cell in which the gene was found. The RNA molecule, the enzyme, and the glycan are all gene products as the term is used here. Any of these as well as many other types of gene products, such as glycoproteins and polysaccharides, will act as antigens if introduced into the immune system of an animal. Protein gene products, including glycoproteins and lipoproteins, are preferred gene products for use as antigens in vaccines.

Preferred embodiments of the present invention relate to the use of the above-described RADS microorganisms as constituents of live vaccines. In these cases, the desired recombinant gene would encode an antigen of a fungal, bacterial, parasitic, or viral disease agent. Live vaccines are particularly useful where localized immunity to the disease agent is important and might be a first line of defense. However, in this case it is essential that the host cells be attenuated to the individual being vaccinated.

Preferably, the host cells used in live vaccines are attenuated derivatives of pathogens. Most preferably, the attenuated derivatives are able to attach to, invade and persist in the gut-associated lymphoid tissue (GALT) or bronchial-associated lymphoid tissue (BALT). Such attenuated host cells are preferred because they are known to be able to persist in the inoculated animal, causing exposure to the antigen for an extended time period. Such a long exposure period is known to be highly effective in inducing an immunogenic response to the antigen.

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Attenuated does not mean that a microbe of that genus or species can not ever cause disease, but that the particular microbe being used is attenuated with respect to the particular animal being treated. The microbe may belong to a genus or even a species that is normally pathogenic, but must belong to a strain that is attenuated. By pathogenic is meant capable of causing disease or impairing normal physiological functioning. Attenuated strains are incapable of inducing a full suite of symptoms of the disease that is normally associated with its virulent pathogenic counterpart. Microbes as used herein include bacteria, protozoa, parasites, unicellular fungi, and multicellular fungi.

*Shigella* or an enteroinvasive *E. coli* can be useful in antigen delivery systems since invasion into colonic mucosa could stimulate lymphoid tissues adjacent to the colon, so as to stimulate a strong mucosal immune response in the reproductive tract. Rectal immunization can be effective because of anatomical features such as the proximity of lymph nodes and lymphatics to the colon.

In order for a vaccine to be effective in inducing antibodies, the antigenic material must be released in such a way that the antibody-producing mechanism of the vaccinated animal can come into play. Therefore the microbe carrier of the gene product must be introduced into the animal. In order to stimulate a preferred response of the GALT or BALT cells as discussed previously, introduction of the microbe or gene product directly into the gut or bronchus is preferred, such as by oral administration, gastric intubation or in the form of intranasal, although other methods of administering the vaccine, such as intravenous, intramuscular, subcutaneous injection or intramammary or intrapenial or vaginal administration, are possible.

When the attenuated microbe is used as a vaccine, the antigen needs to become available to the animal's immune system. This may be accomplished when the carrier microbe dies so that the antigen molecules are released. Of course, the use of "leaky" attenuated mutants that release the contents of the periplasm without lysis is also possible. Alternatively, a gene may be selected that controls the production of an antigen that will be made available by the carrier cell to the outside environment prior to the death of the cell.

Antigens. Live recombinant RADS microorganisms can be used to deliver any product that can be expressed in the host microorganism. Preferred expression products for this purpose are antigens. For example, antigens can be from bacterial, viral, mycotic and parasitic pathogens, to protect against bacterial, viral, mycotic, and parasitic infections, respectively; gametes, provided they are gamete specific, to block fertilization; and tumor antigens, to halt cancers. It is specifically contemplated that antigens from organisms newly identified or newly associated with a disease or pathogenic condition, or new or emerging

pathogens of animals or humans, including those now known or identified in the future, can be used in a RADS. Furthermore, antigens for use in a RADS are not limited to those from pathogenic organisms. The selection and recombinant expression of antigens has been previously described by Schödel (1992) and Curtiss (1990). Immunogenicity of the

5 microorganisms can be augmented and/or modulated by constructing strains that also express genes for cytokines, adjuvants, and other immunomodulators.

Some examples of microorganisms useful as a source for antigen are listed below. These include microorganisms for the control of plague caused by *Yersinia pestis* and other *Yersinia* species such as *Y. pseudotuberculosis* and *Y. enterocolitica*, of gonorrhea caused by

10 *Neisseria gonorrhoea*, of syphilis caused by *Treponema pallidum*, and of venereal diseases as well as eye infections caused by *Chlamydia trachomatis*. Species of *Streptococcus* from both group A and group B, such as those species that cause sore throat or heart diseases, *Erisipelothrix rhusiopathiae*, *Neisseria meningitidis*, *Mycoplasma pneumoniae* and other

15 *Mycoplasma* species, *Hemophilus influenza*, *Bordetella pertussis*, *Mycobacterium tuberculosis*, *Mycobacterium leprae*, *Bordetella* species, *Escherichia coli*, *Streptococcus equi*, *Streptococcus pneumoniae*, *Brucella abortus*, *Pasteurella hemolytica* and *P. multocida*, *Vibrio cholera*, *Shigella* species, *Borrellia* species, *Bartonella* species, *Heliobacter pylori*, *Campylobacter* species, *Pseudomonas* species, *Moraxella* species, *Brucella* species, *Francisella* species, *Aeromonas* species, *Actinobacillus* species, *Clostridium* species,

20 *Rickettsia* species, *Bacillus* species, *Coxiella* species, *Ehrlichia* species, *Listeria* species, and *Legionella pneumophila* are additional examples of bacteria within the scope of this invention from which antigen genes could be obtained. Viral antigens can also be used in a RADS. Viral antigens can be used in antigen delivery microorganisms directed against viruses, either DNA or RNA viruses, for example from the classes *Papovavirus*, *Adenovirus*, *Herpesvirus*,

25 *Poxvirus*, *Parvovirus*, *Reovirus*, *Picornavirus*, *Myxovirus*, *Paramyxovirus*, *Flavivirus* or *Retrovirus*. Antigen delivery microorganisms using antigens of pathogenic fungi, protozoa and parasites can also be used.

Certain vaccine embodiments comprise utilization of microorganisms comprising a RADS system where the desired gene encodes an allergen. Such a vaccine may be used in an

30 exposure regimen designed to specifically desensitize an allergic host. Allergens are substances that cause allergic reactions in an animal that is exposed to them. Allergic reactions, also known as Type I hypersensitivity or immediate hypersensitivity, are vertebrate immune responses characterized by IgE production in conjunction with certain cellular immune reactions. Many different materials may be allergens, such as animal dander and

35 pollen, and the allergic reaction of individual animals will vary for any particular allergen. It

is possible to induce tolerance to an allergen in an animal that normally shows an allergic response. The methods of inducing tolerance are well-known and generally comprise administering the allergen to the animal in increasing dosages.

Recombinant attenuated *Salmonella* are capable of stimulating strong mucosal,  
 5 systemic and cellular immune responses against foreign antigens and thus against the pathogen that is the source of the foreign antigen. It is not necessary that the antigen gene be a complete gene as present in the parent organism, which was capable of producing or regulating the production of a macromolecule, for example, a functioning polypeptide. It is only necessary that the gene be capable of serving as the template used as a guide in the  
 10 production of an antigenic product. The product may be one that was not found in that exact form in the parent organism. For example, a functional gene coding for a polypeptide antigen comprising 100 amino acid residues may be transferred in part into a carrier microbe so that a peptide comprising only 75, or even 10, amino acid residues is produced by the cellular mechanism of the host cell. Alternatively, if the amino acid sequence of a particular antigen  
 15 or fragment thereof is known, it is possible to chemically synthesize the DNA fragment or analog thereof by means of automated gene synthesizers, PCR, or the like and introduce said DNA sequence into the appropriate expression vector. At the other end of the spectrum is a long section of DNA coding for several gene products, one or all of which can be antigenic.

Multiple antigens can also be expressed by a recombinant avirulent *Salmonella* strain.  
 20 In addition, antigens, or even parts of antigens, that constitute a B cell epitope or define a region of an antigen to which an immune response is desired, can be expressed as a fusion to a carrier protein that contains a strong promiscuous T cell epitope and/or serves as an adjuvant and/or facilitates presentation of the antigen to enhance, in all cases, the immune response to the antigen or its component part. This can easily be accomplished by genetically  
 25 engineering DNA sequences to specify such fusions for expression by attenuated strains. Fusion to tetanus toxin fragment C, CT-B, LT-B and hepatitis virus B core are particularly useful for these purposes, although other epitope presentation systems are well known in the art.

In order for the expression gene to be effective in eliciting an immune response, the  
 30 expression gene must be expressed, which can be accomplished as described above. In order for an antigen delivery microorganism to be effective in immunizing an individual, the antigenic material must be released in such a way that the immune system of the vaccinated animal can come into play. Therefore the live avirulent microorganism must be introduced into the animal. In order to stimulate a preferred response of the GALT or BALT cells as  
 35 discussed previously, introduction of the microbe or gene product directly into the gut or



bronchus is preferred, such as by oral administration, intranasal administration, gastric intubation or in the form of aerosols, although other methods of administering the antigen delivery microorganism, such as intravenous, intramuscular, subcutaneous injection or intramammary, intrapenial, intrarectal, or vaginal administration, is possible.

5        Antigen Delivery Compositions. A preferred use of antigen delivery microorganisms is as vaccines for stimulating an immune response to the delivered antigens. Oral immunization in a suitable animal host with live recombinant *Salmonella* vaccine strains leads to colonization of the gut-associated lymphoid tissue (GALT) or Peyer's patches, which leads to the induction of a generalized mucosal immune response to both *Salmonella* antigens and the foreign antigens synthesized by the recombinant *Salmonella* (Curtiss *et al.*, *Adv. Exp. Med. Biol.* 251:33-47 (1989)). Further penetration of the vaccine strain into the mesenteric lymph nodes, liver and spleen augments the induction of systemic and cellular immune responses directed against *Salmonella* antigens and the foreign antigens made by the recombinant *Salmonella* (Doggett and Curtiss (1992)). Thus the use of recombinant avirulent *Salmonella* vaccines for oral immunization stimulates all three branches of the immune system, particularly important when immunizing against infectious disease agents which colonize on and/or invade through mucosal surfaces.

By vaccine is meant an agent used to stimulate the immune system of a living organism so that an immune response occurs. Preferably, the vaccine is sufficient to stimulate the immune system of a living organism so that protection against future harm is provided. Immunization refers to the process of inducing a continuing high level of antibody and/or cellular immune response in which T- lymphocytes can either kill the pathogen and/or activate other cells (for example, phagocytes) to do so in an organism, which is directed against a pathogen or antigen to which the organism has been previously exposed. Although the phrase "immune system" can encompass responses of unicellular organisms to the presence of foreign bodies, that is, interferon production, as used herein the phrase is restricted to the anatomical features and mechanisms by which a multi-cellular organism responds to an antigenic material which invades the cells of the organism or the extra-cellular fluid of the organism. The antibody so produced may belong to any of the immunological classes, such as immunoglobulins A, D, E, G or M. Of particular interest are vaccines which stimulate production of immunoglobulin A (IgA) since this is the principle immunoglobulin produced by the secretory system of warm-blooded animals, although the vaccines described herein are not limited to those which stimulate IgA production. For example, vaccines of the nature described herein are likely to produce a broad range of other immune responses in addition to IgA formation, for example, cellular and humoral immunity. Immune responses

to antigens are well studied and widely reported. A survey of immunology is given in Paul, Ed. (1999), *Fundamental Immunology*, fourth ed., Philadelphia: Lippincott-Raven, Sites *et al.*, *Basic and Clinical Immunology* (Lange Medical Books, Los Altos, CA, 1994), and Orga *et al.*, *Handbook of Mucosal Immunology* (Academic Press, San Diego, CA, 1994). Mucosal immunity is also described by Ogra *et al.*, Eds. (1999), *Mucosal Immunology*, second ed., Academic Press, San Diego.

An individual treated with a vaccine of the invention is defined herein as including all vertebrates, for example, mammals, including domestic animals and humans, various species of birds, including domestic birds, particularly those of agricultural importance. Preferably, the individual is a warm-blooded animal.

The dosages of live recombinant vaccines required to elicit an immune response will vary with the antigenicity of the cloned recombinant expression product and need only be a dosage sufficient to induce an immune response typical of existing vaccines. Routine experimentation will easily establish the required dosage. Typical initial dosages of vaccine for oral administration could be  $1 \times 10^7$  to  $1 \times 10^{11}$  CFU depending upon the size and age of the individual to be immunized. Administering multiple dosages can also be used as needed to provide the desired level of protective immunity. The pharmaceutical carrier in which the vaccine is suspended can be any solvent or solid material for encapsulation that is non-toxic to the inoculated animal and compatible with the carrier organism or antigenic gene product. Suitable pharmaceutical carriers include liquid carriers, such as normal saline and other non-toxic salts at or near physiological concentrations, and solid carriers not used for humans, such as talc or sucrose, or animal feed. Adjuvants may be added to enhance the antigenicity if desired. When used for administering via the bronchial tubes, the vaccine is preferably presented in the form of an aerosol.

Immunization with a pathogen derived gene product can also be used in conjunction with prior immunization with the attenuated derivative of a pathogenic microorganism acting as a carrier to express the gene product specified by a recombinant gene from a pathogen. Such parenteral immunization can serve as a booster to enhance expression of the secretory immune response once the secretory immune system to that pathogen-derived gene product has been primed by immunization with the carrier microbe expressing the pathogen derived gene product to stimulate the lymphoid cells of the GALT or BALT. The enhanced response is known as a secondary, booster, or anamnestic response and results in prolonged immune protection of the host. Booster immunizations may be repeated numerous times with beneficial results.

Although it is preferred that antigen delivery microorganisms be administered by routes that stimulate a mucosal immune response, namely oral, intranasal, intravaginal, and interrectal, these microorganisms can also be delivered intramuscularly, intravenously, and in other parenteral routes. Administration of an antigen delivery microorganism can also be combined with parenteral administration of purified antigenic components. In case where an ELVS is used to control or treat cancer, it is preferred that the ELVS be administered parenterally.

Adaptation of RADS to Useful Host Strains. Avirulent strains of *S. typhimurium* are known to be totally attenuated and highly immunogenic in mice, chickens, and pigs, inducing protective immunity to infection with 10,000 times a lethal dose with the virulent wild-type strain. Similarly, avirulent strains of *S. choleraesuis* are attenuated and immunogenic in mice and pigs and also offer significant protective immunity. Avirulent strains of *S. dublin* have been isolated and tested and found to be avirulent, immunogenic, and protective in calves. Attenuated *S. typhi* strains have also been constructed and found to induce significant immune responses in human volunteers. Attenuated derivatives of *Vibrio cholerae* and *Shigella flexneri* have also been constructed and used as vaccines to induce significant immune responses in human volunteers. *Mycobacterium bovis* strain BCG has also been used to orally immunize humans. Attenuated *Listeria monocytogenes* has also been used as a live vaccine for immunization of mice. In addition to serving as vaccines to immunize animals and human hosts against infection with related virulent wild-type strains, avirulent derivatives of the above cited microorganisms can also be used as antigen delivery vectors by genetically engineering them to express foreign antigens. These antigens could be from bacterial, viral, fungal and parasitic pathogens or they could be allergens or they could be gamete specific antigens in a contraceptive vaccine or tumor antigens in anti cancer vaccines. Immunization of animal and/or human hosts with these live recombinant avirulent vaccines is known to induce mucosal, systemic and cellular immune responses directed against the foreign antigen and against the pathogen from which the gene specifying the foreign antigen was isolated or against allergens or against sperm or ova or against tumor cells, respectively.

Bacterial pathogens can be attenuated by introducing deletion mutations in various genes as described above or as known to the skilled artisan. Any of these strains are suitable for introduction of a RADS of the sort disclosed herein, although modifications would be needed to make the system operable in gram-positive bacteria. Specifically these modifications would require modification of Shine-Dalgarno sequences to permit translation of mRNA, and slight changes in promoter sequences to cause transcription to be more efficient, as is known in the art.

Administration of a live vaccine of the type disclosed above to an animal may be by any known or standard technique. These include oral ingestion, gastric intubation, or broncho-nasal spraying. All of these methods allow the live vaccine to easily reach the GALT or BALT cells and induce antibody formation and are the preferred methods of administration. Other methods of administration, such as intravenous injection to allow the carrier microbe to reach the animal's blood stream may be acceptable. Intravenous, intramuscular or intramammary injection is also acceptable with other embodiments of the invention, as is described later.

Since preferred methods of administration are oral ingestion, aerosol spray and gastric intubation, preferred carrier microbes are those that belong to species that home preferentially to any of the lymphoepithelial structures of the intestines or of the bronchi of the animal being vaccinated. Preferably, these strains are attenuated derivatives of enteropathogenic strains produced by genetic manipulation of enteropathogenic strains. Strains that home to Peyer's patches and thus directly stimulate production of IgA are most preferred. In animals these include specific strains of *Salmonella*, and *Salmonella-E. coli* hybrids that home to the Peyer's patches.

The dosages required will vary with the antigenicity of the gene product and need only be an amount sufficient to induce an immune response typical of existing vaccines. Routine experimentation will easily establish the required amount. Typical initial dosages of vaccine could be 0.001-0.1 mg antigen/kg body weight, with increasing amounts or multiple dosages used as needed to provide the desired level of protection.

The pharmaceutical carrier in which the vaccine is suspended or dissolved may be any solvent or solid or encapsulated in a material that is non-toxic to the inoculated animal and compatible with the carrier organism or antigenic gene product. Suitable pharmaceutical carriers include liquid carriers, such as normal saline and other non-toxic salts at or near physiological concentrations, and solid carriers not used for humans, such as talc, sucrose, and feed for farm animals. Adjuvants may be added to enhance the antigenicity if desired. When used for administering via the bronchial tubes, the vaccine is preferably presented in the form of an aerosol.

Immunization with a pathogen-derived gene product can also be used in conjunction with prior immunization with the attenuated derivative of a pathogenic microorganism acting as a carrier to express the gene product specified by a recombinant gene from a pathogen. Such parenteral immunization can serve as a booster to enhance expression of the secretory immune response once the secretory immune system to that pathogen-derived gene product has been primed by immunization with the carrier microbe expressing the desired gene

product to stimulate the lymphoid cells of the GALT or BALT. The enhanced response is known as a secondary, booster, or anamnestic response and results in prolonged immune protection of the host. Booster immunizations may be repeated numerous times with beneficial results.

5           In other embodiments of the invention, a recombinant attenuated derivative of a pathogenic microbe can be used to express, in the animal host, gene products that are therapeutic in the inoculated animal. Non-limiting examples of such products include lymphokines or cytokines to modulate the immune response (Saltzman et al., 1996, *Cancer Bio. Ther. Radiol. Pharm.* 11:145-153; Saltzman et al., 1997, *J. Pediatric. Surg.* 32:301-306; 10 Whittle et al., 1997, *J. Med. Microbiol.* 46:1029-1038, 1997; Dunstan et al., 1996, *Infect. Immun.* 64:2730-2736), sperm-specific and egg-specific autoantigens to arrest fertility (U.S. Patent No. 5,656,488), blood products such as clotting factors, specific antibodies, e.g., which bind to tumors or pathogens such as viruses, fungi, parasites, or bacteria; growth factors, essential enzymes or structural proteins which are insufficiently produced in the host, 15 ribozymes or antisense RNA which cleaves or inactivates a nucleic acid encoding an undesirable gene product (e.g., a gene product essential for tumor metastasis or angiogenesis of tumors; a gene product essential for a pathogen to cause disease), or enzymes that have the potential to convert prodrugs into toxic drugs within a tumor cell mass in an individual with a solid tumor (Pawelek et al., 1997, *Cancer Res.* 57:4537-44).

20           Because the avirulent microbes of this invention are able to traverse a variety of immunocompetent structures including the GALT, mesenteric lymph nodes and spleen, such microbes may also be used to modulate the immune system by producing a variety of immunoregulatory products. Accordingly, one or more genes encoding immunoregulatory proteins or peptides may be recombinantly introduced as a desired gene into the attenuated 25 microbes such that the microbes are capable of taking up residence in the appropriate immunocompetent tissue and express the recombinant desired gene product to suppress, augment or modify the immune response in the host. Nonlimiting examples of immunoregulatory molecules include colony stimulating factors (macrophage, granulocyte, or mixed), macrophage chemotoxin, macrophage inhibition factor, leukocyte inhibitory factors, 30 lymphotoxins, blastogenic factor, interferons, and interleukins.

Derivatives of attenuated microbes are also contemplated to be within the scope of this invention. By derivative is meant sexually or asexually derived progeny and mutants of the avirulent strains including single or multiple base substitutions, deletions, insertions or inversions which retain the basic functioning of the host cells previously described.

Preferred embodiments of the invention are described in the following examples. Other embodiments within the scope of the claims herein will be apparent to one skilled in the art from consideration of the specification or practice of the invention as disclosed herein. It is intended that the specification, together with the examples, be considered exemplary only, with the scope and spirit of the invention being indicated by the claims that follow the examples.

### Examples

#### Example 1

#### 10 **Construction of bacterial host strains as attenuated antigen delivery hosts for RAVs.**

The RADSs are dependent upon the presence of RAV constructions as described in Example 2 and the presence of chromosomal deletion mutations, often with insertions, to permit regulation of genes on the RAVs under permissive versus non-permissive conditions. Deletion/insertion mutations 1 and 2 in Figure 2 are generally needed for the maintenance of most RAVs described although the deletion/insertion mutation 2 is sufficient for maintenance and function of one of the RAVs described in a subsequent example. The introduction of mutations such as  $\Delta asdA19::TTaraCP_{BAD}c2TT$  and  $\Delta ilvG3::TTaraCP_{BAD}lacITT$  is best accomplished by the use of suicide vectors. As stated above, the  $araCP_{BAD}$  regulatory system enables arabinose supplied as a free sugar to bind to the AraC protein which acts as an activator of transcription commencing at the  $P_{BAD}$  promoter thus causing synthesis, after translation of the *c2* and/or *lacI* mRNA, of the repressor protein products C2 and/or LacI. The molecular construction of the  $TTaraCP_{BAD}c2TT$  product and its insertion into the 1244 bp deletion of the *asd* gene as well as the molecular genetic construction of the  $TTaraCP_{BAD}lacITT$  construction and its insertion into the  $\Delta ilvG3$  mutation are described in WO96/40947. (It should be noted that the *ilvG3* mutation was incorrectly designated  $\Delta relA3$  in WO96/40947.) These molecular constructs can be placed in a suicide vector such as pMEG-375 (Figure 3), which possesses genes for ampicillin and chloramphenicol resistance to select for primary recombinants with the suicide vector inserted into the chromosome and also the *sacB* gene encoding levansucrase for the hydrolysis of sucrose. The latter permits selection of recombinants losing the suicide vector by a second recombination event, hopefully associated with allele replacement. The suicide vector also contains the *mob* sequence from an IncP plasmid to enable its mobilization and conjugation from strains possessing an integrated IncP conjugative plasmid. pMEG-375 also possesses the origin of replication from the plasmid R6K which is dependent on a gene *pir* and which is introduced into the chromosome of a suicide vector donor strain such as MGN-617 (Table 1) in a

bacteriophage  $\lambda$  prophage. When the  $\Delta asdA19::TTaraCP_{BADc2}TT$  is inserted into pMEG-375, the suicide vector pMEG-611 (Figure 4) is the result. pMEG-611 can be introduced either by transformation or electroporation into the suicide vector conjugational donor strain MGN-617 (Table 1). MGN-617 also possesses a  $\Delta asd$  mutation causing an obligate

5 requirement for DAP. Thus MGN-617, containing a suicide vector such as pMEG-611 (Figure 4), can be mated with a suitable *S. typhimurium* recipient strain such as  $\chi 3761$  in the presence of DAP and exconjugants inheriting the pMEG-611 suicide vector by a single reciprocal crossover between homologous sequences flanking the *asd* gene on the plasmid vector and those on the chromosome lead to ampicillin and chloramphenicol resistant

10 survivors. (DAP is not included in this selection medium so that the MGN-617 cells that are permissive for the suicide vector die by lysis.) These single crossover integrants can be purified by restreaking on medium that contains ampicillin or chloramphenicol but lacks DAP. Small cultures can then be prepared of these drug resistant isolates and plated on medium containing 5% sucrose and DAP (so that cells inheriting the

15  $\Delta asdA19::TTaraCP_{BADc2}TT$  mutation survive). If the suicide plasmid still resides in the bacterial chromosome the cells will be killed, whereas if the second crossover event has occurred excising the suicide vector from the chromosome, bacteria will survive and form a colony. If the second crossover event occurs on the opposite side of the *asd* deletion mutation as was involved in the first crossover event, an allele replacement will occur so that the wild-

20 type *asd*<sup>+</sup> allele in the chromosome is now replaced with a  $\Delta asdA19::TTaraCP_{BADc2}TT$  deletion/insertion mutation. By use of DNA probes and PCR with suitable oligonucleotides, proof of the stable existence of the construction in the chromosome can be verified including the absence of the wild-type *asd*<sup>+</sup> allele. The bacteria now have an obligate requirement for DAP.

25 A suicide vector to be used for allele replacement to introduce the  $\Delta ilvG3::TTaraCP_{BAD}lacIT$  deletion/insertion mutation is depicted as pMEG-249 (Figure 5). The suicide vector portion of pMEG-249 is slightly different than that in pMEG-611 in that there is no gene for chloramphenicol resistance although other properties of the suicide vector construct are the same and it can be conjugated from the suicide vector donor strain MGN-

30 617.

As previously stated, a RAV in a bacterial host with mutations 1 and 2 (Figure 2), when moved from a medium with arabinose to a medium without arabinose initiates runaway replication quite rapidly and growth can cease within two generations. One way to decrease the rate of arabinose utilization is to include mutation 3, that is  $\Delta araBAD1923$ . This mutation

35 eliminates the structural genes for the enzymes that break down arabinose, thus arabinose

taken into the cell stays there until it leaks out or is decreased in concentration during each subsequent cell division. Thus, a bacterial strain with mutations 1, 2, and 3 (Figure 2) will initiate runaway vector replication after a longer time in the absence of exogenous arabinose than is the case for a strain with only mutations 1 and 2 as shown in Figure 1. Figure 6 depicts the suicide vector pYA3484 for delivery of the  $\Delta araBAD1923$  deletion mutation into the chromosome of the strain to be constructed. The procedures to achieve allele exchange are as described above in detail for the  $\Delta asdA19::TTaraCP_{BADc2}TT$  deletion/insertion mutation. However, plating in the presence of 5% sucrose is done on MacConkey-Ara plates containing 1% arabinose (plus DAP if the strain also possesses the  $\Delta asdA19::TTaraCP_{BADc2}TT$  mutation). Success in allele replacement is recognized by examining the plates after 16 h incubation at 37°C to identify Ara<sup>-</sup> non-fermenting isolates.

As stated above, in a strain with mutation 3 precluding the metabolic breakdown of arabinose, it is possible that some of the arabinose taken up by the cell grown in the presence of arabinose might leak out. For this reason mutation 7, which is a  $\Delta araE25$  mutation (Figure 2), can be introduced into the strain making use of the suicide vector pYA3485 (Figure 7). The *araE* gene encodes the low affinity transport protein for arabinose and in its absence, higher concentrations of arabinose are needed for expression of the *araCP<sub>BAD</sub>* regulatory signal, but once internalized, arabinose is very inefficiently leaked out of the bacterial cell (Schlief et al., pp. 1300-1309 in Neidhardt et al.). Thus in a bacterial strain with the  $\Delta araBAD1923$  and  $\Delta araE25$  mutations in addition to mutations 1 and 2 (Figure 2), runaway replication of a RAV would be delayed even more in moving from a medium with to a medium without arabinose. In the construction of such a strain, it is necessary to introduce the  $\Delta araE25$  mutation prior to the  $\Delta araBAD1923$  mutation. This is because bacteria with the  $\Delta araE25$  mutation can still ferment arabinose to result in a color change on MacConkey-Ara plates but do so slowly. It is important, for this reason, to examine the MacConkey-Ara plates (containing 5% sucrose, 1% arabinose and DAP, if necessary) after only 16 h incubation at 37°C. Longer incubation obscures the differences because cells with the  $\Delta araE25$  allele will eventually metabolize enough arabinose to produce sufficient acid to give a color reaction indistinguishable from that given by colonies from cells with the wild-type *araE* allele. The recognition of success in introducing the  $\Delta araBAD1923$  allele, into the strain containing the  $\Delta araE25$  mutation, is straightforward since colonies examined after 40h of incubation at 37°C, exhibit an Ara<sup>+</sup> phenotype in the case of the  $\Delta araE25$  parent, while the double mutant with  $\Delta araE25$  and  $\Delta araBAD1923$  mutations will be unable to ferment arabinose and will be Ara<sup>-</sup> in phenotype.



Further modification of the strains for even more delay in the timing of RAV expression can be achieved by modifying mutation 3 (Figure 2) in two steps. First, the  $\Delta araBAD1923$  allele is modified to remove the sequence encoding several N-terminal amino acids of the *araB* gene and is replaced with an *NcoI* cloning site to enable insertion of other genes into this site to yield mutation  $\Delta araBAD1924$ . In this regard, it should be noted that the  $\Delta araBAD1924$  mutation is a deletion of all parts of the arabinose operon other than the *araCP<sub>BAD</sub>* promoter. Thus the modified  $\Delta araBAD1924$  allele (mutation 4, Figure 2) is the equivalent of a native *araCP<sub>BAD</sub>* within the chromosome into which can be inserted various foreign genes using the *NcoI* site which encodes an ATG start codon. One such derivative, mutation 5 in Figure 2, encodes the P22 *c2* repressor gene. In another case, mutation 6 in Figure 2, the insertion has both the *c2* repressor gene and the *lacI* repressor to yield the  $\Delta araBAD1926$  allele. In both of these cases the level of C2 or C2 and LacI repressor proteins should be double the concentration present in cells that only possess a single copy of the repressor gene as in mutations 1 and 2 (Figure 2). These dual repressor genes will further delay the time of derepression of the C2 repressible pUC *RNAIL* gene and the LacI repressible P<sub>trc</sub> controlled gene for the foreign antigen on the RAV.

Candidate vaccine strains must have mutations rendering them avirulent for the animal or human host and yet retain immunogenicity. Mutations that are effective in attenuating *S. typhimurium* as well as other *Salmonella* serotypes, and mutations that engender them with high immunogenicity include mutations in the *phoPQ* operon (U.S. Pat. No. 5,424,065; Miller, et al., 1989), such as the  $\Delta phoP1918$  and  $\Delta phoP24$  mutations (mutations 8 and 9 in Figure 2). A suicide vector for allele replacement to introduce the  $\Delta phoP1918$  allele is depicted in Figure 8 as pMEG-550 and the suicide vector pMEG-368 for allele replacement to introduce the  $\Delta phoP24$  mutation is depicted in Figure 9. Success in introducing either of these *phoP* mutations is achieved by screening for the *phoN* gene encoded non-specific acid phosphatase which is not synthesized in *phoP* mutants (Kier et al., 1979). This is achieved by using a soft agar overlay assay with the chromogenic phosphatase substrate  $\alpha$ -naphthyl phosphate (Kier et al., 1999 J. Bacteriol. 138:155-161). Definitive proof for presence of the mutant alleles is accomplished using PCR to reveal the size of the deletions, DNA probe hybridization to show the absence of *phoP* sequences, and screening for phenotypes associated with  $\Delta phoP$  mutations. These phenotypes include: the inability to synthesize non-specific acid phosphatase (Kier et al., 1979); polymyxin-B sensitivity; and susceptibility to killing by macrophages (Fields et al., 1989).

## Example 2

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### Construction of RAVs for use in RADS.

The RAV pMEG-771 (Figure 10) is obtained by removing the *EagI-XhoI* fragment of pMEG-546 (Figure 10) containing the *lac* promoter and replacing it with P22 P<sub>R</sub> from pMEG-104 (Figure 10 and Fig. 4 of U.S. Patent Application 08/761,769). pMEG-546 is digested with *EagI* and treated with T4 DNA polymerase to blunt the end, then digested with *XhoI*. This removes P<sub>lac</sub>. pMEG-104 is then digested with *HindIII* then blunt ended with T4 DNA polymerase and digested with *SalI*. This fragment of pMEG-104 contains the 5S T1 T2 transcription terminator and the P22 P<sub>R</sub>, which is blunt end ligated into the cut pMEG-546 to yield pMEG-771 (Fig. 10). pMEG-771 can be used with host strains containing mutations 1 and 2 (Figure 2) plus other mutations such as 3 or 5 or 6, optionally with mutations as described in Example 1, to provide arabinose regulated runaway expression. Another RAV, pMEG-546, as depicted in Figure 1, has all the regulatory signals repressible by the *lacI* gene product encoded within the *ilvG3::TTaraCP<sub>BAD</sub>lacITT* deletion/insertion mutation 2 (Figure 2). In this case, the pUC *RNAIIA* transcript is under the control of the *lac* promoter (P<sub>lac</sub>), which in the absence of LacI is transcriptionally active, leading to the pUC mode of unregulated replication. In addition, the site for insertion of foreign genes in pMEG-546 is adjacent to and downstream from P<sub>trc</sub> which is a hybrid *trp-lac* promoter that is very efficient at transcription initiation and also repressible by the LacI repressor protein (Brossius, J. et al. (1984) Gene 27:161-172). pMEG-546, like pMEG-771, has the pSC101 origin of replication and thus when the RAV host strain is grown in medium with arabinose present there are only five to six copies of pMEG-546 per chromosome DNA equivalent and the strain grows very well because of this low copy number and the low expression of any gene for a foreign antigen cloned into the multiple cloning site downstream from P<sub>trc</sub>.

Another way to delay the time of onset of runaway vector replication expression upon introduction of the vaccine strain into an immunized animal is to enhance the repressive ability of repressors. This increased repression will then delay the time before transcription from P22 P<sub>R</sub> in pMEG-771 (Figure 1) initiates to make the pUC *RNAII* transcript. One way to achieve this is by insertion of a LacI repressor binding site (*lacRB*) as part of the P22 P<sub>R</sub> so that both the P22 C2 repressor and LacI repressor block transcription leading to the synthesis of the pUC *RNAII* transcript. Such a construct as a modification of pMEG-771 is depicted in Figure 11.

In Figure 8 of WO96/40947 a runaway lysis containment vector is depicted that has the attributes of pMEG-546 (Figure 10) but also contains the P22 phage lysis genes *lys-13* and *lys-19* under the control of P22 P<sub>R</sub> so that by shifting from medium with arabinose to medium without arabinose the cessation in P22 *c2* gene expression leads to a reduction in C2 repressor

and transcription of the phage lysis genes on the RAV to ultimately cause lysis and death of the bacteria. Observations to date with the expression of high copy number plasmids with phage lysis genes, even under stringent repression, demonstrates that some leak through transcription occurs to result in death by lysis of some cells in the population. We have

5 therefore resorted to a delayed onset lysis by cessation of synthesis of the essential gene *asd* needed for the synthesis of DAP, an essential constituent of the rigid layer of the bacterial cell wall. This was accomplished by generating a TTaraCP<sub>BAD</sub>c2GTG *asd* sequence in which the start codon for the *asd* gene has been changed from ATG to GTG to reduce the efficiency of translation of the *asd* mRNA and therefore the level of Asd enzyme synthesized. This

10 modified version of pMEG-771 is depicted in Figure 12. Specifically, the 2.5 kb *Bgl*III-*Nde*I TT araCP<sub>BAD</sub>C2 GTG *asd* DNA fragment from pYA3531 (Figure 21) is subcloned into pMEG-771 (Figure 1) partially digested with *Bgl*III at position 1 and *Nde*I at position 827. Then, a synthesized transcriptional terminator is inserted at the *Bgl*III site located before the P<sub>trc</sub> promoter to yield the plasmid depicted in Figure 12. We have the same construct without

15 the *c2* gene, but the presence of the *c2* gene in proximity to the P22 P<sub>R</sub> on the RAV enhances the efficiency of repression of transcription initiated at P<sub>R</sub>. In this regard, recall that in bacteriophages such as λ and P22 the repressor gene and the promoters to which the repressor binds are adjacent, thus enhancing the efficiency of repression. This is undoubtedly due to the fact that proteins are synthesized on polyribosomes in which the mRNA is still attached to

20 the DNA template in proximity to the site of action of the repressor gene products. At such time as arabinose becomes exhausted and transcription from the araCP<sub>BAD</sub> promoter on the RAV ceases, *asd* mRNA ceases to be synthesized and because of the inefficiency of its translation due to the GUG start codon (encoded as GTG in the DNA sequence), Asd enzyme becomes limiting and after a few generations cells begin to undergo DAP-less death. The

25 extent to which this will be achieved when plasmid copy number goes from the pSC101 level to the unregulated level in excess of several hundred copies of plasmid DNA per chromosome DNA equivalent after induction of the RAV expression is yet to be evaluated. An additional modification to further reduce the efficiency of translation of the *asd* gene mRNA is to change the Shine-Dalgarno sequence from AGGA to AAGA or AGAA.

### Example 3

#### Construction and evaluation of RADs to prevent *Erysipelothrix rhusiopathiae* infection and disease.

*Erysipelothrix rhusiopathiae* is a gram-positive pathogen of swine and turkeys that

35 causes the disease erysipelas and in later life can cause arthritis (Wood, R. L. (1984) J. Am.

Vet. Med. Assoc. 184:944-949). Previous work has identified a 65 kDa surface antigen termed Ery65 or SpaA.1 (Shimoji, Y. et al. 1999 Infect. Immun. 67:1646-1651) that can be injected into animals with adjuvant to confer protective immunity to *E. rhusiopathiae* challenge. There also exists a monoclonal antibody (Mab) to the Ery65 protein which can confer passive protection to animals infused with the Mab against challenge with viable *E. rhusiopathiae* (Henderson, L. et al. 1997. U.S. Patent 5,625,038).

The expression of the full length *ery65* gene in *E. coli* and *S. typhimurium* tends to be quite toxic, possibly because of the signal sequence that doesn't function as well in gram-negative bacteria as it does in the natural gram-positive bacterial host, but more likely because of the highly hydrophobic C-terminal domain, which tends to have an affinity for the cytoplasmic membrane in *E. coli* and *Salmonella*, thereby impairing electron transport and metabolic good health. One solution to this problem is to express the Ery65 antigen on a RAV so that expression is delayed until the vaccine strain persists in an environment lacking arabinose until the arabinose is exhausted, leading to the decrease of repressors to allow for the runaway replication from the pUC origin and high-level expression of the cloned gene insert. pMEG-525 (Figure 13) is a RAV containing the Ery65 coding region, as shown in Figure 14 including that for the signal sequence, cloned as a 2.5 kb *Bst*EII-*Hind*III fragment from pMEG-446, (a pYA3332 vector containing the 1881 bp *Nco*I-*Hind*III PCR fragment encoding *erg65* from *E. rhusiopathiae* E1-6P) into *Bst*EII-*Hind*III digested runaway vector pMEG-283. When pMEG-525 is placed in either *S. typhimurium* or *S. choleraesuis* there is a time-dependent increase in plasmid copy number as a function of time after movement from a medium with arabinose to a medium without arabinose. It is also clear that there is a substantially increased amount of plasmid DNA with either vector only control RAV pMEG-283 in *S. typhimurium* MGN-966 or pMEG-546 in *S. choleraesuis* MGN-2267, compared to that for the RAV pMEG-525 which encodes the Ery65 antigen (Figure 14) in either MGN-996 or MGN-2267. Thus, toxicity of Ery65 is still observed to some extent during induction. In spite of this, there are very substantial increases in the synthesis of Ery65 protein in both *S. typhimurium* and *S. choleraesuis* as a function of time after moving cultures from medium with arabinose to medium without arabinose (Figure 15). As revealed in Figure 16, *S. typhimurium* strain MGN-966(pMEG-525) with the RAV specifying the Ery65 antigen grows equally well as the isogenic strain MGN-966(pMEG-283) containing the control RAV not encoding a foreign antigen, provided that arabinose is present. However, after diluting 1 to 1,000 non-aerated cultures grown for 12-16 hours in Luria Bertani broth containing 0.2% arabinose into Luria Bertani broth without arabinose, the expression of the Ery65 antigen

sharply diminishes the rate of growth ultimately followed by death and inability to propagate viable bacteria (Figure 16).

The above-described strains were grown in Luria Bertani broth containing 0.2% arabinose to an OD<sub>600</sub> of 1.0 and administered intranasally to Rompun and Ketaset sedated BALB/c mice weighing ~20 gm. Mice immunized with ~10<sup>5</sup> CFU of the *S. typhimurium* vaccine strain MGN-966(pMEG-525) on days 0 and 31, exhibit strong immune responses following a single immunization on day 28, as detected by Western blot with sera from immunized mice, to a protein of about 65 kDa in size in an extract of the SE-9 strain of *E. rhusiopathiae* (Figure 17). This antibody response is even more substantial following the day 31 booster immunization as seen on day 48 after immunization (Figure 17). Challenge of these immunized mice with a sub cutaneous lethal dose of 118 CFU of *E. rhusiopathiae* strain E1-6P revealed 100% protection, relative to the vector only control mice, as shown in Table 3. This was in contrast to previous studies conducted using the entire Ery65 antigen on other expression vectors in *Salmonella* which were unable to provide either a detectable immune response to Ery65 or protection against a lethal challenge of *E. rhusiopathiae*.

TABLE 3

Survival of BALB/c Mice Immunized with RAV *S. typhimurium* Expressing Entire Ery65 after S.C. Challenge with *E. rhusiopathiae* E1-6P

Strain <sup>1</sup>	Immunizing Dose (CFU) day 0, day 31	Survivors/Total <sup>2</sup> After Challenge
Control	-	0/3
MGN-966 (pMEG-283)	1.1X10 <sup>6</sup> , 1.8X10 <sup>6</sup>	0/10
MGN-966 (pMEG-525)	2.0X10 <sup>4</sup> , 6.4X10 <sup>5</sup>	10/10

5 <sup>1</sup> Genotype:  $\Delta phoP22\Delta asdA19(P_{BAD}C2) \Delta ilvG3(P_{BAD-lacI})$

<sup>2</sup> after challenge on day 52 with 118 CFU of E1-6P

#### Example 4

#### **Construction of RADs to prevent *Streptococcus equi* infection and disease.**

- 10 *Streptococcus equi* causes a very severe disease of racehorses and other equines called strangles (Nara, P. et al. (1983) Am. J. Vet. Res. 44:529-534). Even worse is the immune complex disease *Purpura hemorrhagica*, a consequence of earlier in life *S. equi* infection (Galan, J. and J. Timoney (1985) J. Immunology 135:3134-3137). *S. equi*, like group A streptococci, produce an M protein on the surface which is antiphagocytic and thus a major virulence determinant enhancing the success of *S. equi* infection. Antibodies to the *S. equi* M protein (SeM) are opsonic and facilitate the successful phagocytosis and killing of *S. equi* (Galan, J. and J. Timoney (1985) Infect. Immun. 47:623-628). Thus an antibody response to SeM is likely to be highly protective in preventing *S. equi* infection of horses. The RAV pMEG-573 encoding the *S. equi* SeM protein is depicted in Figure 18. This RAV was
- 15 obtained by cloning the PCR fragment flanked by primers SeM444-474 GCGAACTCTGAGGTTAGTCGTACGGCGACTC and SeM1265-1233 TTGATCAATTTCTGCTAATTTTGAGCCATTTC, containing the central portion of the SeM coding region from the SeM clone pSEM06, into the *NcoI* and *BamHI* sites of pMEG-546. pMEG-573 is only dependent on the presence of the  $\Delta ilvG3::TTaraCP_{BAD}lacITT$
- 20 deletion/insertion mutation (Figure 2) in the chromosome to repress the runaway phenotype and SeM expression. The vaccine strains for SeM also contain either the  $\Delta phoP1918$  or  $\Delta phoP24$  attenuating deletion mutation (mutations 8 and 9, Figure 2). A comparison of the level of SeM expression by different attenuated *Salmonella* vaccine strains, in which SeM

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**Table 4**  
**Average Serum IgG Immune Responses to SeM**  
**Induced by RAV SeM Vaccine Strains in Mice**  
 ELISA Readings at 1/100 Dilution of Sera

Strain	Day 14 (pos. mice)	Day 35 (pos. mice)	Day 41 (pos. mice)
MGN-2238 (pMEG-573)	0.319 (1/4)	1.209 (4/4)	1.003 (4/4)
MGN-4598 (pMEG-573)	0	1.846 (4/4)	1.858 (4/4)
MGN-4598 (pMEG-573) Ara+ transductant	0	0.924 (4/4)	1.296 (4/4)
MGN-4598 (pMEG-546) Vector Only	0.040	0.073	0.075

5 Based on this information, horse studies were then conducted using the same strains administered to horses to evaluate the serological immune responses to the SeM antigen in the target animal. Horses were immunized on days 0 and 14 with  $\sim 10^8$  CFU of each of the strains indicated. Sera and nasal washings were then collected and evaluated for SeM specific

10 antibodies as indicated in Table 5 and Table 6. The data revealed higher backgrounds for the SeM antigen in many of the horses being evaluated than seen in mice, and also greater variation in the immune responses to SeM between different animals; however, there is still a clear serum IgG response in three of the MGN-4598 (pMEG-573) Ara+ transductant immunized horses and 1 of the MGN-4598 (pMEG-573) immunized horses. Nasal wash IgA

15 responses to SeM (Table 6) are similar in that there is a high SeM background in the horses prior to immunization, while two of the MGN-4598 (pMEG-573) Ara+ transductant immunized horses and three of the MGN-4598 (pMEG-573) immunized horses appear to have IgA responses to SeM. Both the mouse studies and the horse studies support the use of RAV based SeM vaccines for intranasal immunization with or without the modification of the

20 strains to eliminate the ability to utilize arabinose.



**Table 5**  
**Average Serum IgG Immune Responses to SeM**  
**Induced by RAV SeM Vaccine Strains in Horses**  
 ELISA Readings at 1/10,000 Dilution of Sera

Strain	Horse	Day 0	Day 28
MGN-4598 (pMEG-573)	Lyon#1	0.134	0.156
	CB1	0.968	0.853
	949	0.488	<b>0.944</b>
	9802	0.250	0.343
MGN-4598 (pMEG-546) Vector Only	Lyon#2	0.273	0.328
	Lyon#3	0.317	0.229
	P13	0.441	0.435
	18	0.277	0.720
	9808	0.200	0.252
MGN-4598 (pMEG-573) Ara+ transductant	9803	0.184	0.387
	Lyon#4	0.120	0.245
	20	0.336	<b>1.196</b>
	50	0.266	<b>1.206</b>
	52	0.305	<b>1.150</b>

**Table 6**  
**Average Nasal Wash IgA Immune Responses to SeM**  
**Induced by RAV SeM Vaccine Strains in Horses**  
 ELISA Readings at 1/10 Dilution of Nasal Wash

Strain	Horse	Day 0	Day 28
MGN-4598 (pMEG-573)	Lyon#1	0.282	0.162
	CB1	0.080	<b>1.513</b>
	949	0.194	<b>0.843</b>
	9802	0.585	<b>1.570</b>
MGN-4598 (pMEG-546) Vector Only	Lyon#2	0.221	0.586
	Lyon#3	0.201	0.476
	P13	0.119	0.347
	18	0.091	0.437
	9808	0.164	0.356
MGN-4598 (pMEG-573) Ara+ transductant	9803	0.088	<b>0.439</b>
	Lyon#4	0.080	0.178
	20	0.131	0.344
	50	0.105	0.303
	52	0.159	<b>0.603</b>

#### Example 5

#### **Construction of RAVs for use in RADs.**

In WO96/40947, the RAVs described contain containment features such that the vectors expressed phage lysis genes as representatives of lethal genes or failed to express

essential genes such as the *asd* gene. We have observed that the *asd* gene present on high-copy number vectors (pBR and pUC) even in the absence of a -35 or -10 promoter sequence is transcribed frequently enough to permit sufficient Asd protein to be made to sustain the life of a strain with a  $\Delta asd$  chromosomal mutation. Thus a plasmid vector such as pYA3530 with

5 an *araCP<sub>BAD</sub> asd* construction and a pBR *ori* is able to grow on medium without DAP and arabinose, since the *asd* gene is transcribed often enough to enable the cell to make enough Asd protein to sustain growth in the absence of DAP. Most (91%) structural genes use the ATG codon for methionine as the first codon. However, more rarely (8%) they employ GTG as the start codon and even more rarely (1%) use the TTG start codon, but never CTG. We

10 therefore used site directive mutagenesis to change the start codon of the *asd* gene in pYA3450 from ATG to GTG to yield pYA3530 (Figure 20). When pYA3450 with the *araCP<sub>BAD</sub> GTG asd* sequence is introduced into a  $\Delta asd$  strain such as MGN-023 (Table 1), there is an obligate requirement for the presence of arabinose when DAP is absent. In another version of pYA3450, we inserted the P22 *c2* gene between P<sub>BAD</sub> and the *asd* gene to

15 moderately reduce *asd* gene expression and also to cause expression of the C2 repressor to be from a plasmid sequence in proximity to the site of its repressive action on P22 P<sub>R</sub> (see Example 2). This vector was designated pYA3488, which was then subjected to site directed mutagenesis to change the *asd* start codon from ATG to GTG. This yielded pYA3531, which is depicted in Figure 21. Either the *araCP<sub>BAD</sub>GTG asd* sequence from pYA3530 or the

20 *araCP<sub>BAD</sub>c2GTG asd* sequence from pYA3531 can be subcloned into pMEG-771 (Figure 1) in place of the *asd* gene to yield a plasmid such as depicted in Figure 12 with the *araC* P<sub>BAD</sub>c2GTG *asd* sequence. More specifically, the 700 bp *Bgl*III fragment containing P<sub>trc</sub> MCS 5ST1T2 cassette from the plasmid depicted in Figure 12 is replaced by a PCR-amplified 920 bp BSG pA DNA fragment from pVAX1 (Invitrogen) to generate the plasmid depicted in

25 Figure 22. Strains with this construct after entrance into an immunized animal or human with no arabinose present should acquire weakened cell walls as the runaway vector replication expression is augmented along with the overproduction of a foreign antigen under the control of P<sub>TRC</sub>. The result should be a RADS vaccine strain with sufficient delay in RAV expression because of inclusion of the *c2* repressor gene on the RAV vector and because of the inclusion

30 of mutations 1 and 2, 3, 5 or 6 and 7 and either 8 or 9 (Figure 2) in the host chromosome so that the vaccine strain will have sufficient time and growth opportunities in the absence of arabinose to attach to, invade, and colonize lymphoid organs, whether administered intranasally or orally to animals or humans.

### 35 Example 6

2:168-175, 1996; Robinson, *Vaccine* 15:785-787, 1997). DNA vaccines make use of expression systems such that the genetic information specifying the antigen of some pathogen is expressed by the immunized hosts using host machinery for transcription and translation. This may be particularly important for expression of viral, fungal and parasite antigens which are often glycosylated but only when synthesized in a eukaryotic host and never when expressed in a prokaryotic host such as an attenuated bacterial antigen delivery host. Initially, DNA vaccines were administered by injection into muscle tissue, but other injection sites have also been used. Most recently, DNA vaccines have been administered using particle guns to accelerate entry of DNA-coated gold beads into skin or mucosal tissues. The DNA vaccine vectors are propagated in and isolated from recombinant *E. coli* strains grown in fermentors.

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and invade the M cells overlying the lymphoid tissues of the NALT, CALT, BALT and GALT where they would then gain access to antigen presenting cells, such as macrophages and dendritic cells. Since immune responses are more or less proportional to the dose of antigen to which the immunized individual is exposed, it would be expected that means for

5 delivering DNA vaccine vectors that would increase the number of copies of the coding sequence for the foreign antigen to be expressed within a eukaryotic cell would be beneficial in maximizing the immune response induced. Indeed, only about 2% of eukaryotic cells that receive a DNA vaccine vector functionally express the gene product encoded by the foreign gene inserted into the DNA vaccine vector. Thus, the use of an attenuated RADS that would

10 deliver a runaway vector modified for expression of an inserted foreign gene only within eukaryotic cells and not within prokaryotic cells would significantly improve the efficacy of DNA vaccine vector immunization.

In the past, we have employed DNA vaccine vectors derived from both pCMV  $\beta$  and pVAX-1 that are commercially available and have replaced the drug resistance gene with the

15 *S. typhimurium asd* gene to alleviate the concern for introducing antibiotic resistance genes as parts of vaccines into immunized animal and human hosts. In the present case, however, it becomes important to design the RADS with RAV so that the system upon runaway amplification of the plasmid vector will undergo lysis within eukaryotic cells within the immunized animal host. Therefore, the plasmid vector depicted in Figure 12 and described in

20 Example 5, which possesses an *araCP*<sub>BAD</sub>P22*c2* SDGTG *asd* cassette will serve as the starting plasmid construct. This RAV's maintenance within bacterial cells that possesses a mutation 1 (Figure 2), that is the  $\Delta asdA19::araCP_{BADc2}$  mutation/insertion, is dependent on growth in the presence of arabinose. In the absence of arabinose runaway replication commences, but also cessation of synthesis of Asd enzyme, which leads to a weakened cell

25 wall due to inability to synthesize DAP. The pool of DAP is also rapidly depleted by conversion of DAP to lysine needed for protein synthesis. It should be pointed out that the number of Asd enzyme molecules produced in the bacterial cell with the plasmid depicted in Figure 12 is just adequate to maintain viability in the absence of added DAP. This is because of the low efficiency of translation of the *asd* coding sequence due to the GTG start codon.

30 To construct a transfer vector suitable for use as a transfer RAV, the P<sub>TRC</sub> MCS 5S T1T2 transcription terminator cassette is removed and replaced with the pCMV MCS bovine growth hormone polyadenylation specification from the commercially available DNA vaccine vector pVAX-1. The resulting transfer vector derived from the modified pMEG-771 is depicted in Figure. 22. This transfer RAV can be introduced into various attenuated bacterial

35 strains to generate a RADS. The bacterial host strain would possess mutations 1 and 2 (Figure

2) and, depending upon the desired level of delay in phenotypic expression of runaway replication, mutations 3, 5 or 6 and 7 (Figure 2). In order to attenuate the bacterial host strain, one could include attenuating mutations, such as mutations 8 or 9 (Figure 2), although one could use any of a diversity of other attenuating mutations. When bacteria lyse to liberate transfer vectors, such as the transfer RAV depicted in Figure 22, the endonuclease I present in the periplasmic space is encountered and this enzyme can cleave circular plasmid DNA into linear fragments, which are then subject to exonucleolytic digestion. We therefore have cloned the *endA* gene encoding endonuclease I from *S. typhimurium*, SL1344 strain  $\chi$ 3339 generated a defined internal deletion and constructed a suicide vector designated pMEG-776 depicted in Figure 23. This suicide vector has all of the features of pMEG-375 (Figure 3) from which pMEG-776 was derived, and enables one skilled in the art using methods described above to introduce by allele replacement the  $\Delta endA$  mutation into the chromosome of bacterial host strains to be used for delivery of transfer RADs.

The transfer RAV in Figure 22 would be modified to insert a sequence encoding a foreign antigen using the multiple cloning site (MCS) after the  $P_{cmv}$  promoter. The genes for these foreign antigens would be preferably from viral, fungal and parasitic pathogens, whose expression within a eukaryotic host may benefit from the post-translational modification machinery of the eukaryotic host. This is particularly important with regard to protective antigens that would be subject to such post-translational modification such as by glycosylation.

The foreign antigen encoding transfer vector of the type depicted in Figure 22 could also be produced by a nonattenuated bacterial host, such as an *E. coli* strain only possessing mutations 1 and 2 (Figure 2) but also with the *endA3* mutation to eliminate the presence of endonuclease I in the periplasmic space. This construct could be grown in a fermentor in the presence of arabinose and runaway replication would occur following removal and/or complete utilization of arabinose to generate very high quantities of plasmid DNA, which could be harvested and used as a DNA vaccine to be delivered by injection, particle gun, etc. as described above. An important feature, is the absence of antibiotic resistance genes which would thereby preclude the possibility for contamination of the DNA vaccine with antibiotics used during the propagation of the bacteria within a fermentor.

Another important benefit of the type of DNA vaccine vector depicted in Figure 22 is the presence of unique CpG sequences that have been shown to enhance immune responses (Krieg, *J. Lab. Clin. Med.* 128: 128-133, 1996). Research in many labs has found that certain CpG sequences are particularly important for stimulating B cell responses leading to high antibody production. In this regard, the antibiotic resistance gene for kanamycin, which is

contained in many DNA vaccine vectors, lacks any of these preferred CpG sequences. On the other hand, the *S. typhimurium asd* gene, which is included in the vectors described herein, possesses two natural CpG sequences that strongly enhance the immunogenicity of the DNA vaccine vector. In addition, the P22 *c2* gene sequence contains an additional two natural CpG sequences that also strongly enhance the immunogenicity of the DNA vaccine vector. Thus, the transfer RAV depicted in Figure 22 has numerous features to enhance the immunogenicity of foreign genes cloned into the vector and expressed in eukaryotic cells within the immunized animal host. The use of the *S. typhimurium asd* gene in such DNA vaccine vectors is described in US Patent No. 5,840,483.

- 10 All references cited in this specification are hereby incorporated in their entirety by reference. The discussion of the references herein is intended merely to summarize the assertions made by their authors and no admission is made that any reference constitutes prior art. Applicant reserves the right to challenge the accuracy and pertinence of the cited references.